

预训练在自然语言处理的发展： 从Word Embedding到BERT模型

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Bert的诞生

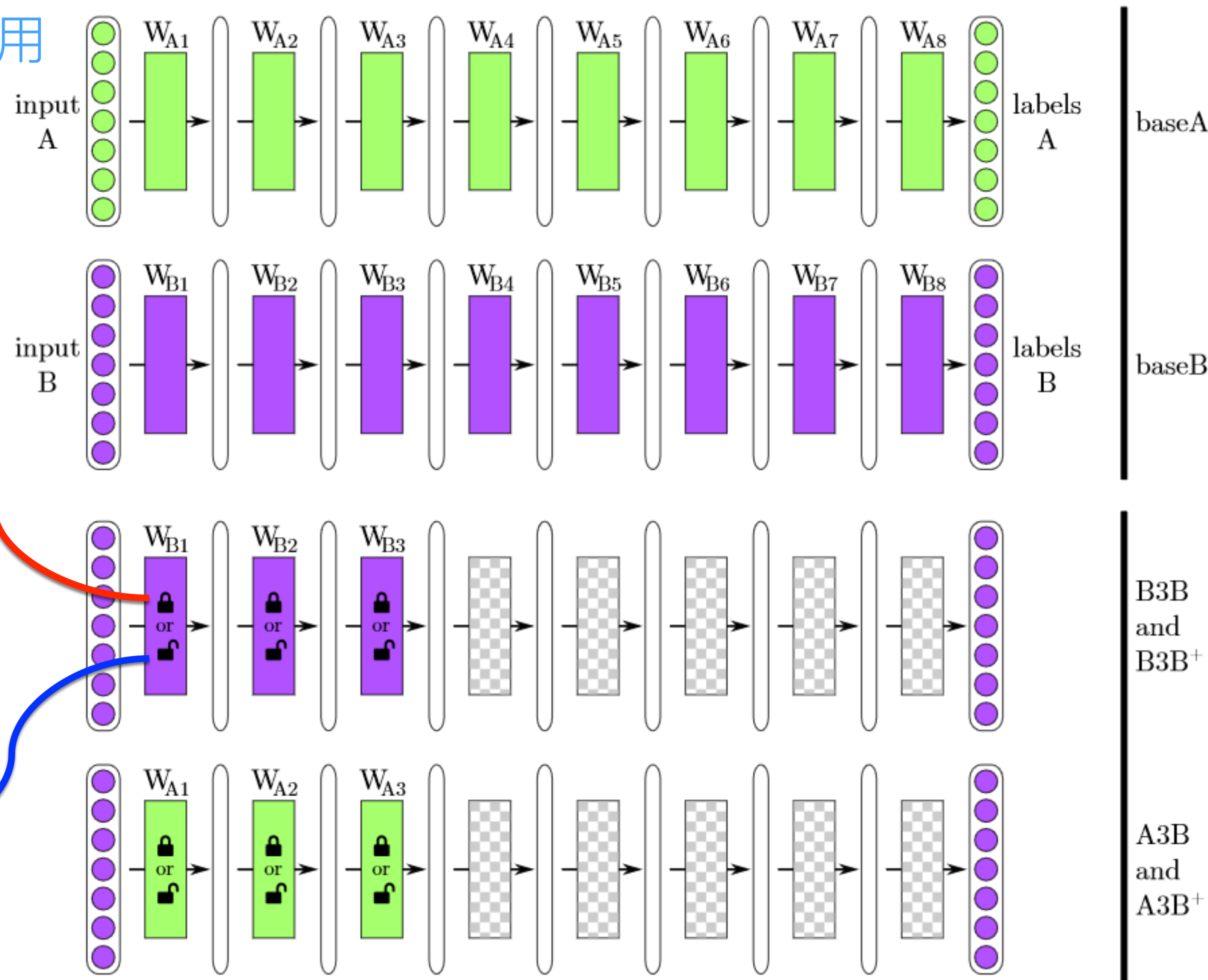
预训练在图像领域的应用

预训练 (say, imagenet) 在图像领域广泛使用

1. 训练数据小, 不足以训练复杂网络
2. 加快训练速度
3. 参数初始化, 先找到好的初始点, 有利于优化

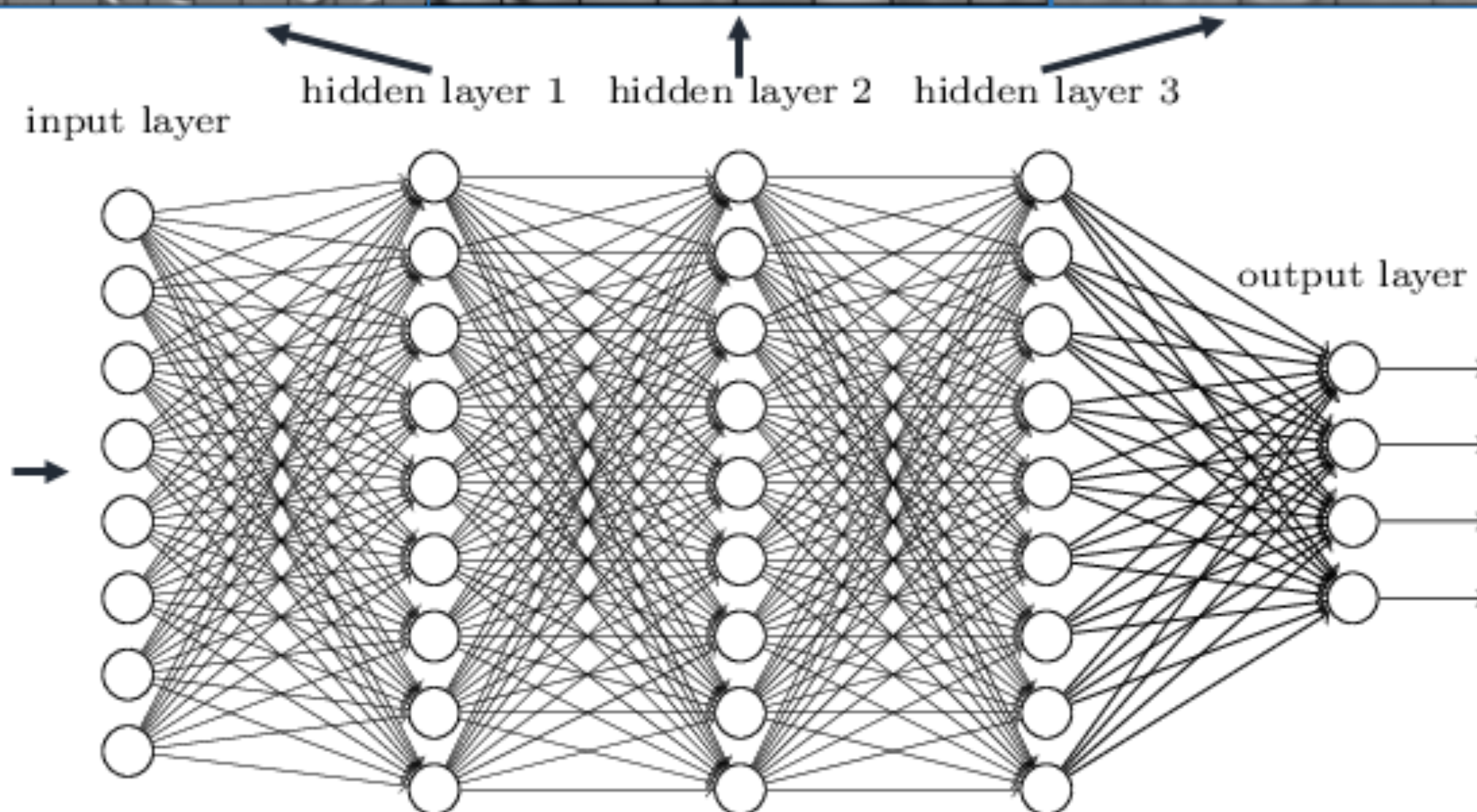
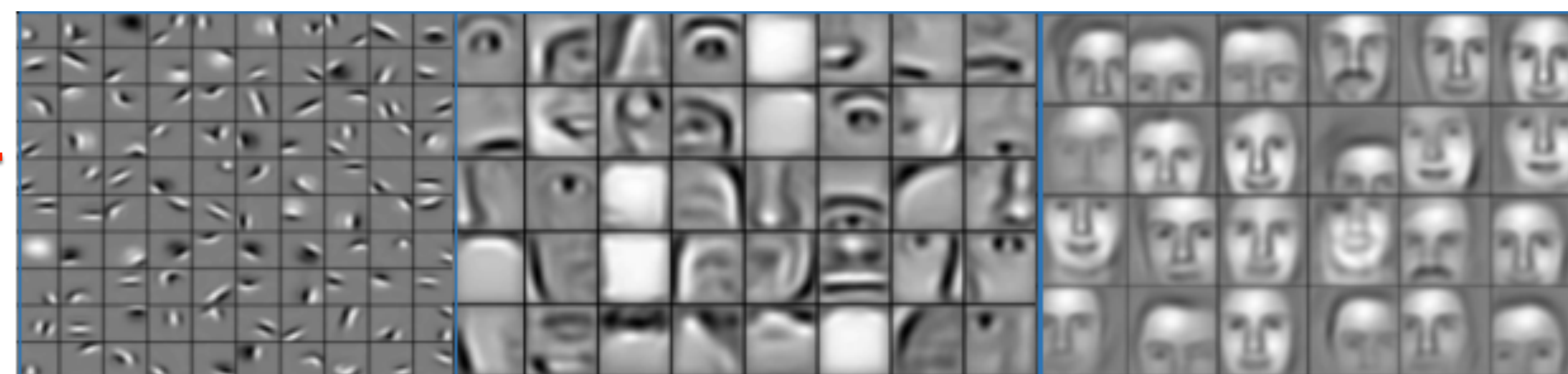
Frozen

Fine-Tuning



预训练在图像领域的应用

Deep neural networks learn hierarchical feature representations



为什么要复用?

底层特征的可复用性

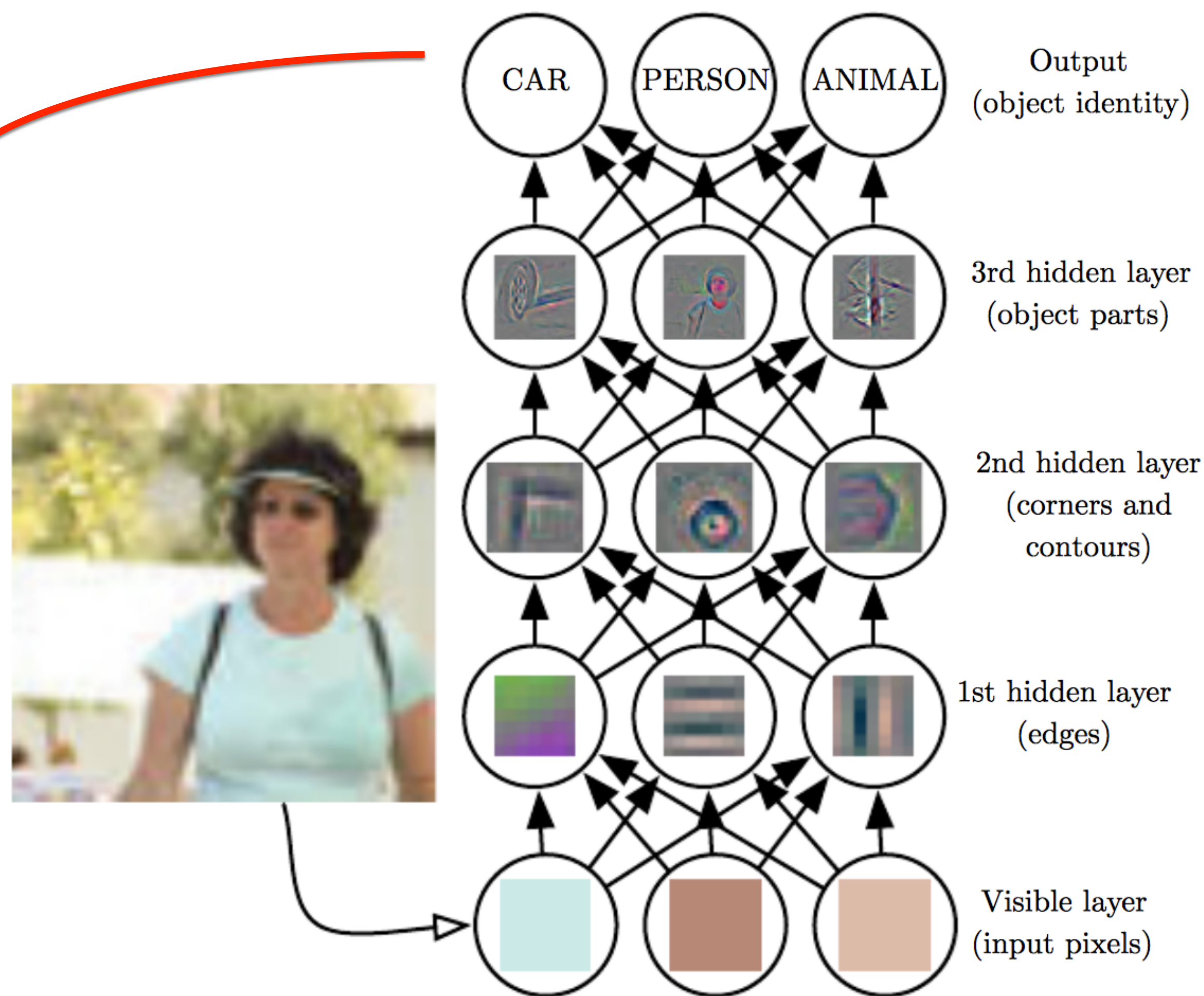


为什么要fine-tuning?

高层特征任务相关性

预训练在图像领域的应用

Imagenet具备任务通用性



预训练在图像领域的应用

keras Home Articles ▾ Learn Tools Examples Reference News 🔍

Using Pre-Trained Models

Applications

Keras Applications are deep learning models that are made available alongside pre-trained weights. These models can be used for prediction, feature extraction, and fine-tuning.

Weights are downloaded automatically when instantiating a model. They are stored at `~/.keras/models/`.

The following image classification models (with weights trained on ImageNet) are available:

- Xception
- VGG16
- VGG19
- ResNet50
- InceptionV3
- InceptionResNetV2
- MobileNet
- MobileNetV2
- DenseNet
- NASNet

Prediction

Feature Extraction

Fine-tuning

预训练在图像领域的应用

Classify ImageNet classes with ResNet50

```
# instantiate the model
model <- application_resnet50(weights = 'imagenet')

# load the image
img_path <- "elephant.jpg"
img <- image_load(img_path, target_size = c(224,224))
x <- image_to_array(img)

# ensure we have a 4d tensor with single element in the batch dimension,
# the preprocess the input for prediction using resnet50
x <- array_reshape(x, c(1, dim(x)))
x <- imagenet_preprocess_input(x)

# make predictions then decode and print them
preds <- model %>% predict(x)
imagenet_decode_predictions(preds, top = 3)[[1]]
```

	class_name	class_description	score
1	n02504013	Indian_elephant	0.90117526
2	n01871265	tusker	0.08774310
3	n02504458	African_elephant	0.01046011

Prediction

Fine-tune InceptionV3 on a new set of classes

```
# create the base pre-trained model
base_model <- application_inception_v3(weights = 'imagenet', include_top = FALSE)

# add our custom layers
predictions <- base_model$output %>%
  layer_global_average_pooling_2d() %>%
  layer_dense(units = 1024, activation = 'relu') %>%
  layer_dense(units = 200, activation = 'softmax')

# this is the model we will train
model <- keras_model(inputs = base_model$input, outputs = predictions)

# first: train only the top layers (which were randomly initialized)
# i.e. freeze all convolutional InceptionV3 layers
freeze_weights(base_model)

# compile the model (should be done *after* setting layers to non-trainable)
model %>% compile(optimizer = 'rmsprop', loss = 'categorical_crossentropy')

# train the model on the new data for a few epochs
model %>% fit_generator(...)

# at this point, the top layers are well trained and we can start fine-tuning
# convolutional layers from inception V3. We will freeze the bottom N layers
# and train the remaining top layers.

# let's visualize layer names and layer indices to see how many layers
# we should freeze:
layers <- base_model$layers
for (i in 1:length(layers))
  cat(i, layers[[i]]$name, "\n")

# we chose to train the top 2 inception blocks, i.e. we will freeze
# the first 172 layers and unfreeze the rest:
freeze_weights(base_model, from = 1, to = 172)
unfreeze_weights(base_model, from = 173)
```

Fine-tune

预训练在图像领域的应用

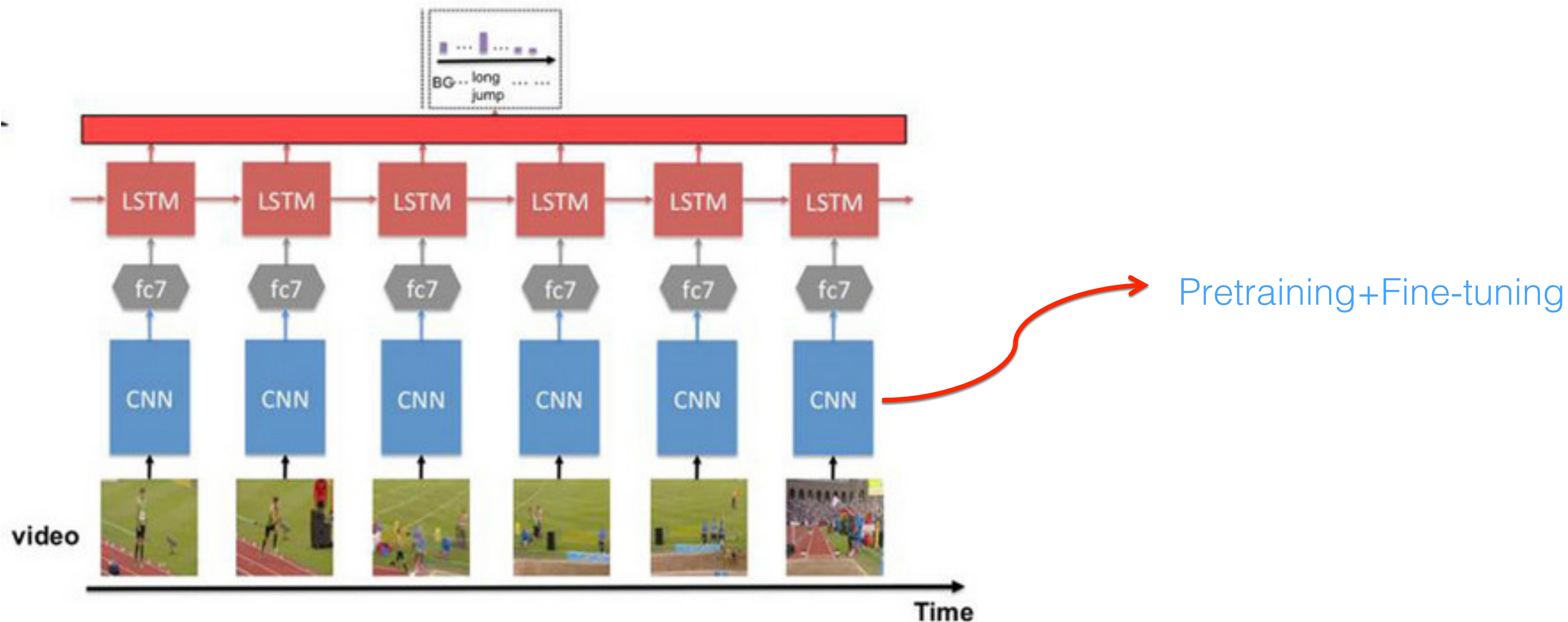


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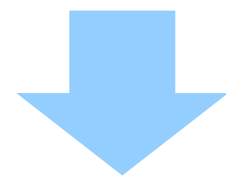
Bert的诞生

语言模型

Sentence 1:美联储主席本·伯南克昨天告诉媒体7000亿美元的救助资金

Sentence 2:美主席联储本·伯南克告诉昨天媒体7000亿美元的资金救

Sentence 3:美主车席联储本·克告诉昨天公司媒体7000伯南亿美行元



哪个句子更像一个合理的句子？如何量化评估？



$$P(S) = P(w_1, w_2, \dots, w_n)$$

$$P(w_1, w_2, \dots, w_n) = P(w_1)P(w_2|w_1)P(w_3|w_1, w_2) \cdots P(w_n|w_1, w_2, \dots, w_{n-1})$$

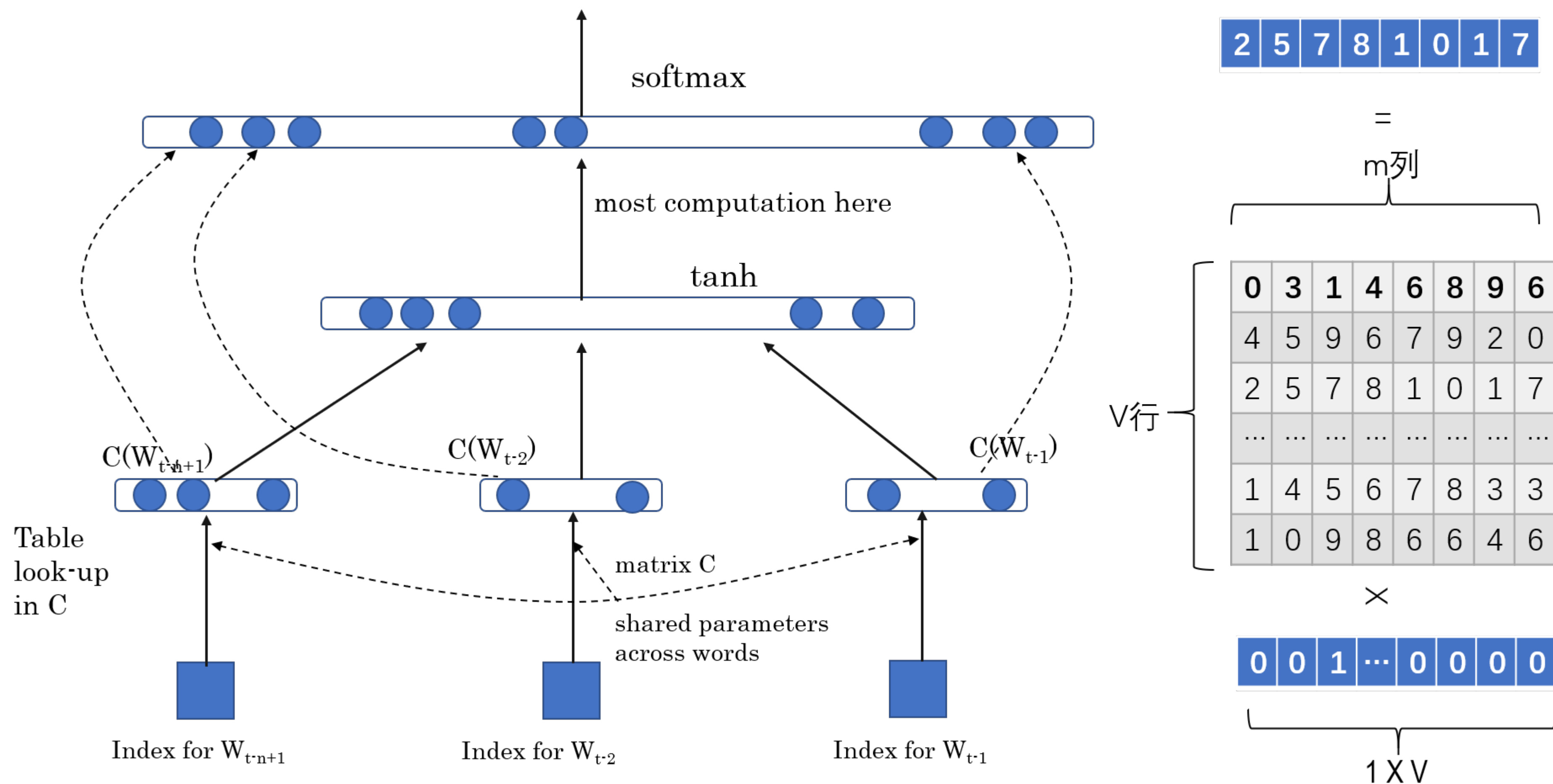


语言模型：

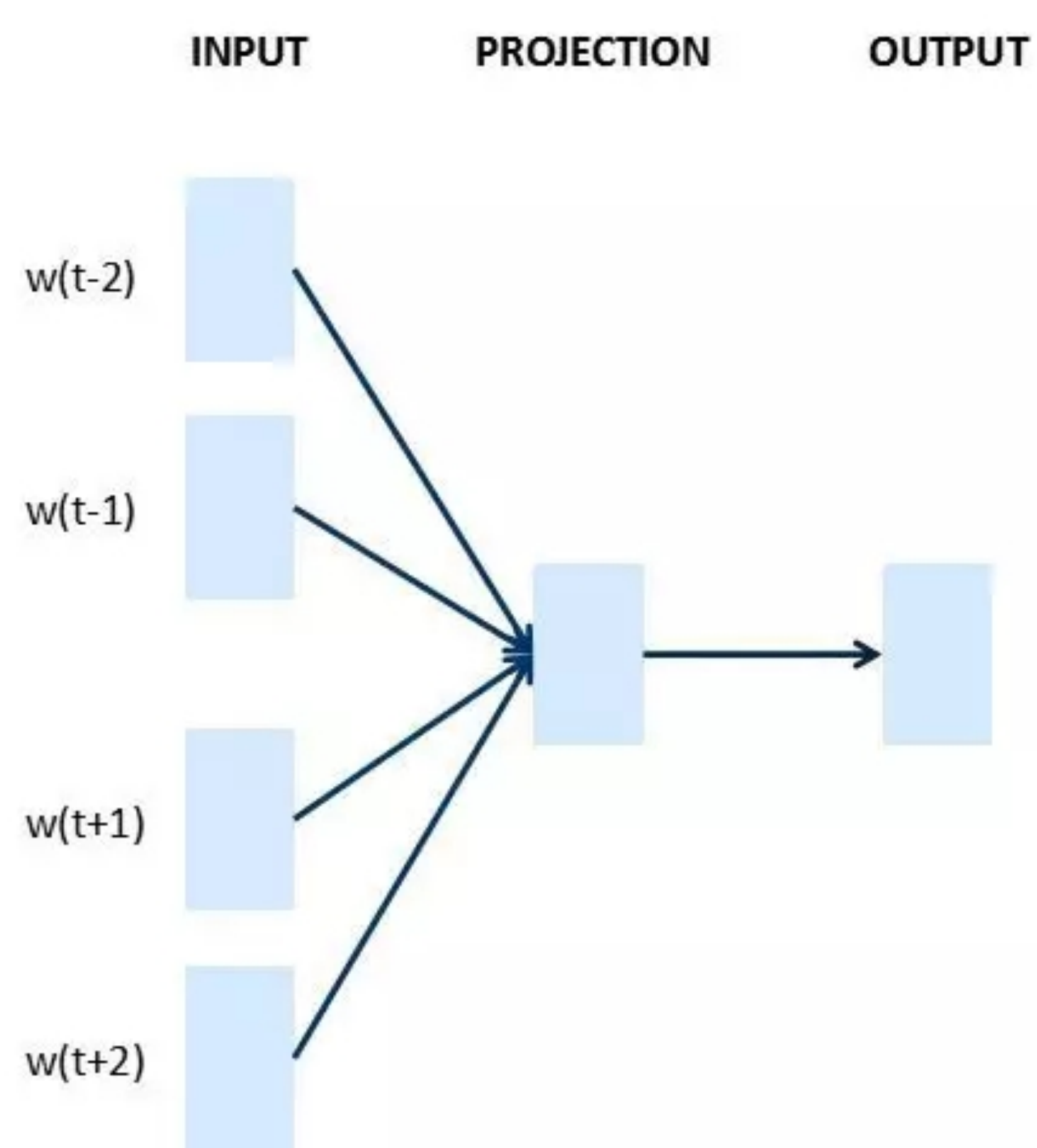
$$L = \sum_{w \in C} \log P(w | \text{context}(w))$$

神经网络语言模型 (NNLM)

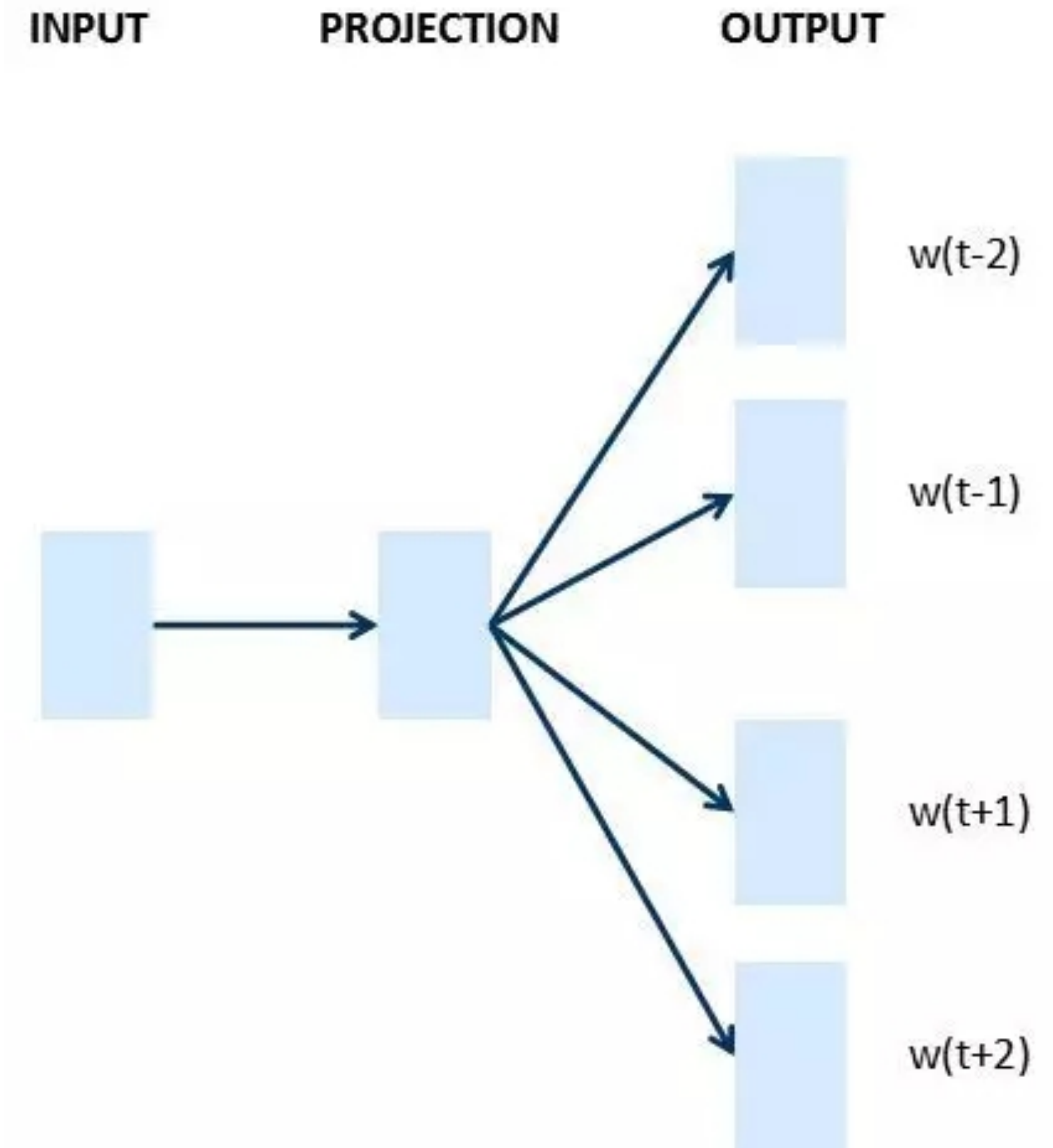
i -th output = $P(W_t = i | \text{context})$



Word2vec



CBOW (Continuous Bag-of-Words Model)



Skip-gram (Continuous Skip-gram Model)

Word Embedding例子

```
In [10]: result = model.most_similar(u"青蛙")
```

```
In [11]: for e in result:  
         print e[0], e[1]
```

```
.....:  
老鼠 0.559612870216  
乌龟 0.489831030369  
蜥蜴 0.478990525007  
猫 0.46728849411  
鳄鱼 0.461885392666  
蟾蜍 0.448014199734  
猴子 0.436584025621  
白雪公主 0.434905380011  
蚯蚓 0.433413207531  
螃蟹 0.4314712286
```

```
In [20]: result = model.most_similar(u"清华大学")
```

```
In [21]: for e in result:  
         print e[0], e[1]
```

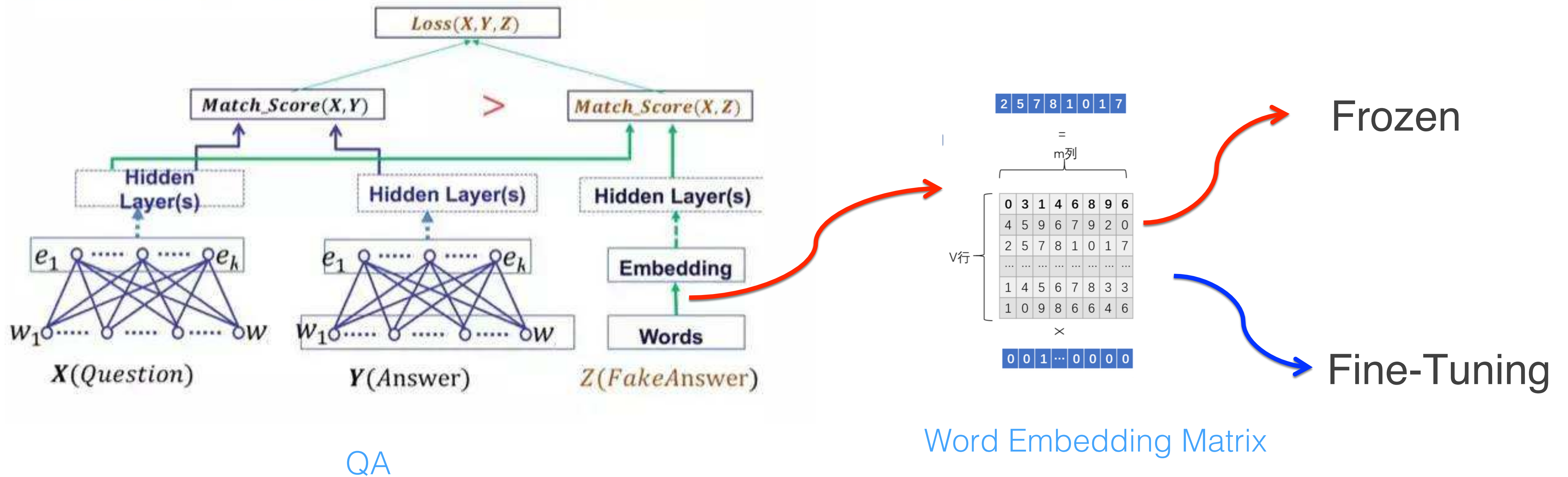
```
.....:  
北京大学 0.763922810555  
化学系 0.724210739136  
物理系 0.694550514221  
数学系 0.684280991554  
中山大学 0.677202701569  
复旦 0.657914161682  
师范大学 0.656435549259  
哲学系 0.654701948166  
生物系 0.654403865337  
中文系 0.653147578239
```

```
In [24]: result = model.most_similar(u"习近平")
```

```
In [25]: for e in result:  
         print e[0], e[1]
```

```
.....:  
胡锦涛 0.809472680092  
江泽民 0.754633367062  
李克强 0.739740967751  
贾庆林 0.737033963203  
曾庆红 0.732847094536  
吴邦国 0.726941585541  
总书记 0.719057679176  
李瑞环 0.716384887695  
温家宝 0.711952567101  
王岐山 0.703570842743
```

学会了单词的WE，怎么用？



这是18年之前NLP中典型的预训练模式！

有什么问题值得改进?

...very useful to protect **banks** or slopes from being washed away by river or rain...

...the location because it was high, about 100 feet above the **bank** of river...

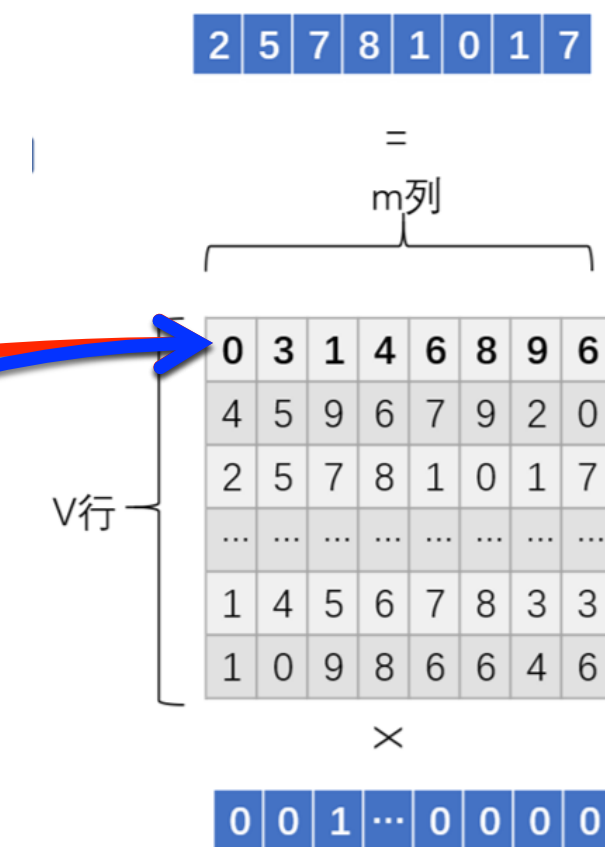
...The **bank** has plan to branch throughout the country...

...They throttled the watchman and robbed the **bank**...

(多义词)Bank:

1. 河岸

2. 银行



静态的Word Embedding!

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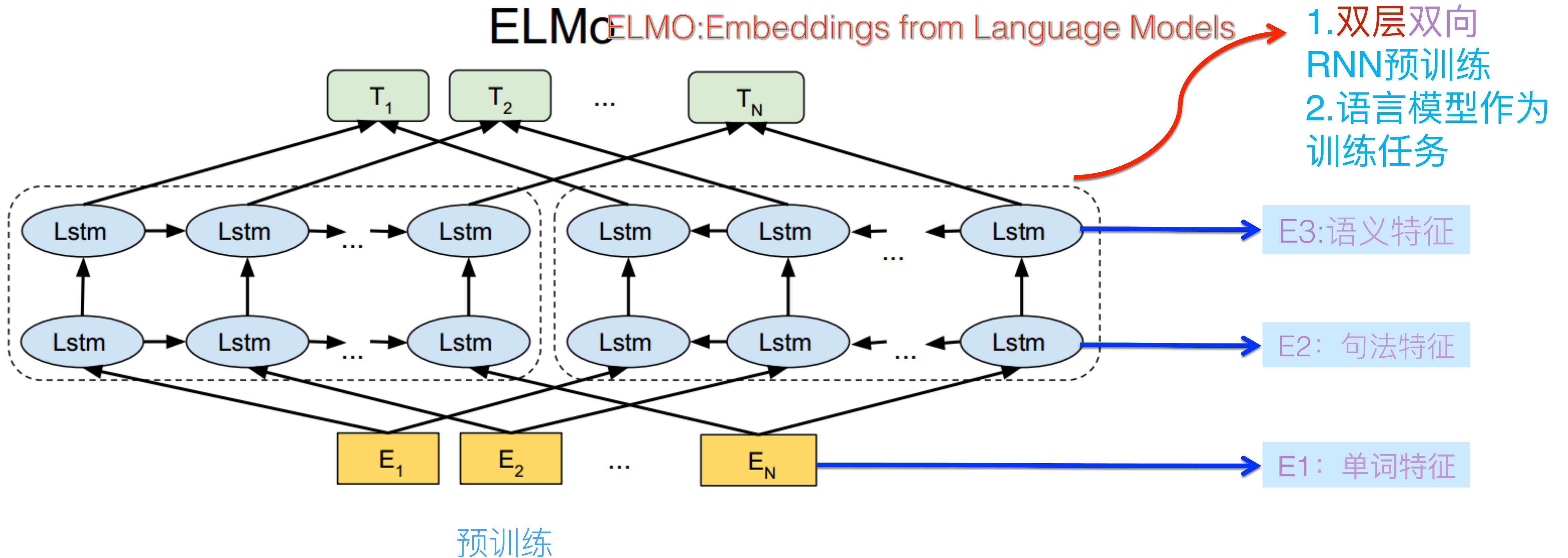
从语言模型到Word Embedding

从Word Embedding到ELMO

从Word Embedding到GPT

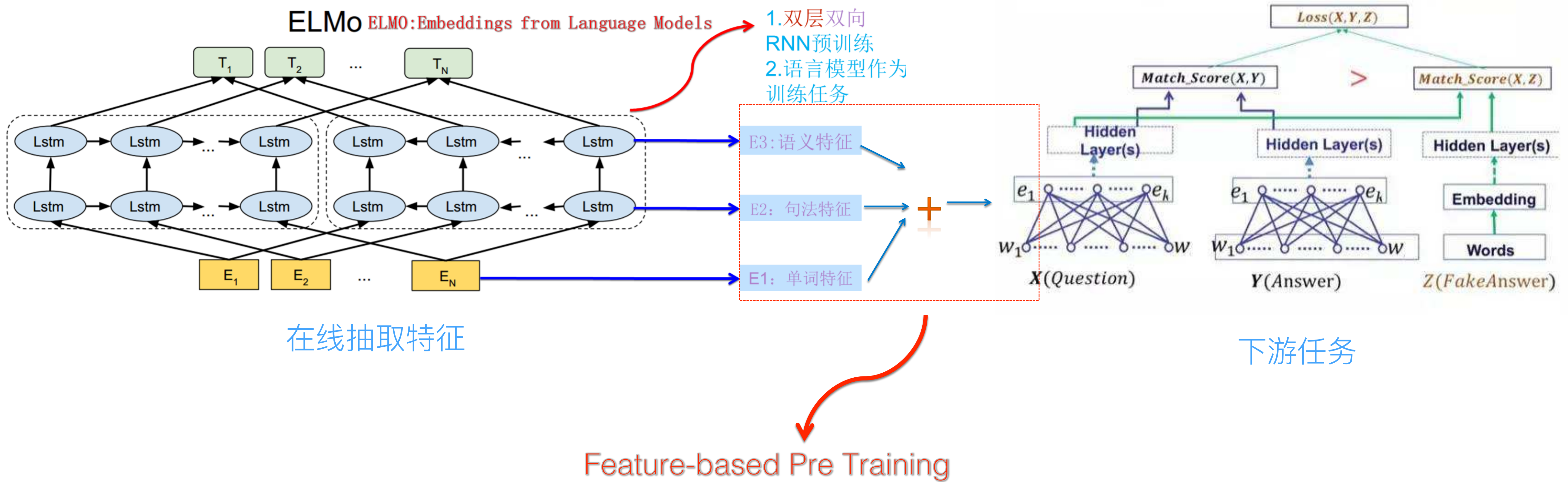
Bert的诞生

从WE到ELMO: 基于上下文的Embedding

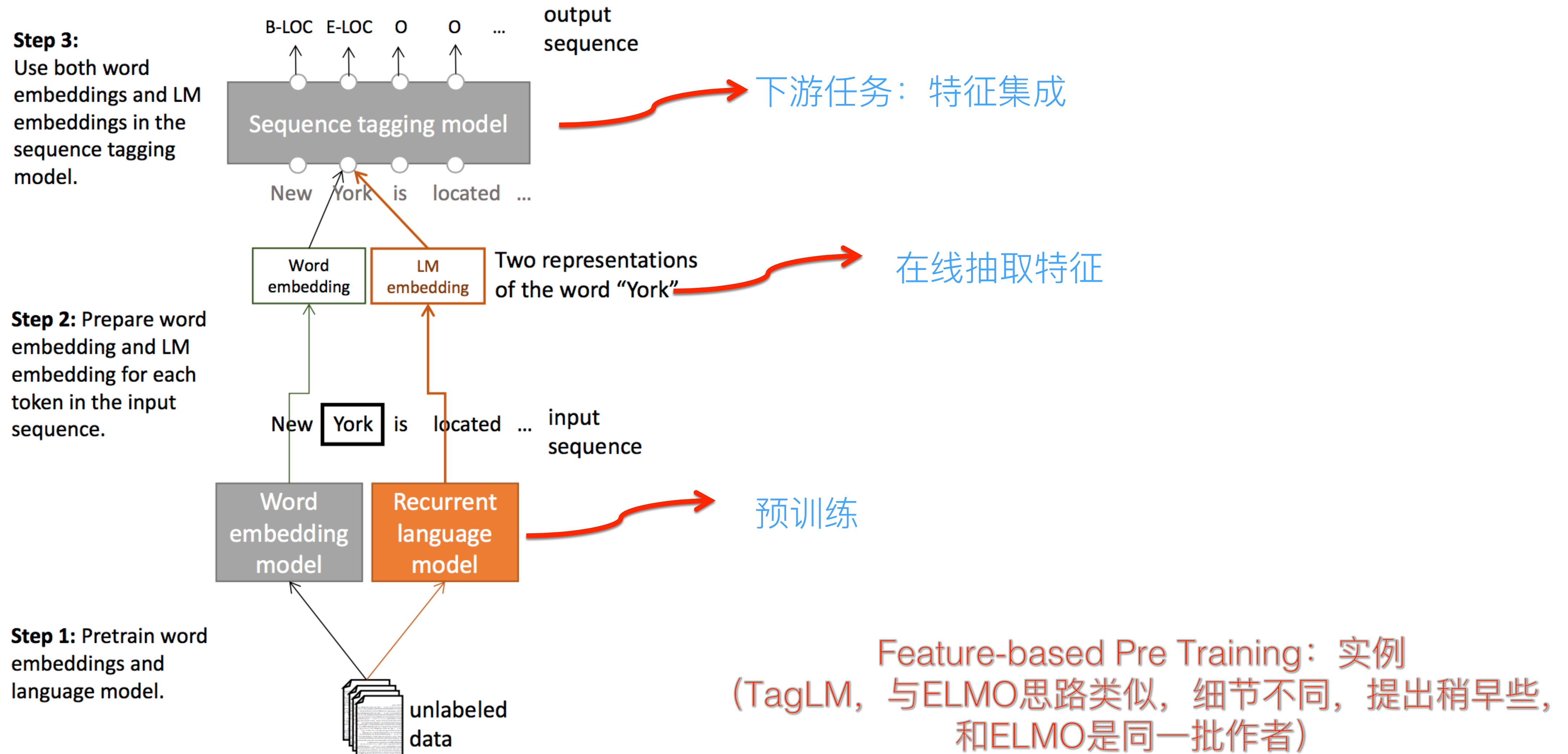


NAACL 2018 最佳论文: Deep contextualized word representations

ELMO: 训练好之后如何使用?



ELMO: 训练好之后如何使用?



ELMO: 多义词问题解决了么?

(多义词)Play:

1. 运动

2. 音乐

	Source	Nearest Neighbors
GloVe	play	playing, game, games, played, players, plays, player, Play, football, multiplayer
biLM	Chico Ruiz made a spectacular <u>play</u> on Alusik 's grounder {...}	Kieffer , the only junior in the group , was commended for his ability to hit in the clutch , as well as his all-round excellent <u>play</u> .
	Olivia De Havilland signed to do a Broadway <u>play</u> for Garson {...}	{...} they were actors who had been handed fat roles in a successful <u>play</u> , and had talent enough to fill the roles competently , with nice understatement .

Table 4: Nearest neighbors to “play” using GloVe and the context embeddings from a biLM.

不仅解决了，词性也能对应起来！

ELMO: 效果如何?

TASK	PREVIOUS SOTA		OUR BASELINE	ELMo + BASELINE	INCREASE (ABSOLUTE/ RELATIVE)
SQuAD	Liu et al. (2017)	84.4	81.1	85.8	4.7 / 24.9%
SNLI	Chen et al. (2017)	88.6	88.0	88.7 $\pm$ 0.17	0.7 / 5.8%
SRL	He et al. (2017)	81.7	81.4	84.6	3.2 / 17.2%
Coref	Lee et al. (2017)	67.2	67.2	70.4	3.2 / 9.8%
NER	Peters et al. (2017)	91.93 $\pm$ 0.19	90.15	92.22 $\pm$ 0.10	2.06 / 21%
SST-5	McCann et al. (2017)	53.7	51.4	54.7 $\pm$ 0.5	3.3 / 6.8%

6个NLP任务: 5%到25%的提高!

ELMO：有什么缺点？

事后看（GPT和Bert出来之后对比）：

- 1.LSTM抽取特征能力远弱于Transformer
- 2.拼接方式双向融合特征融合能力偏弱

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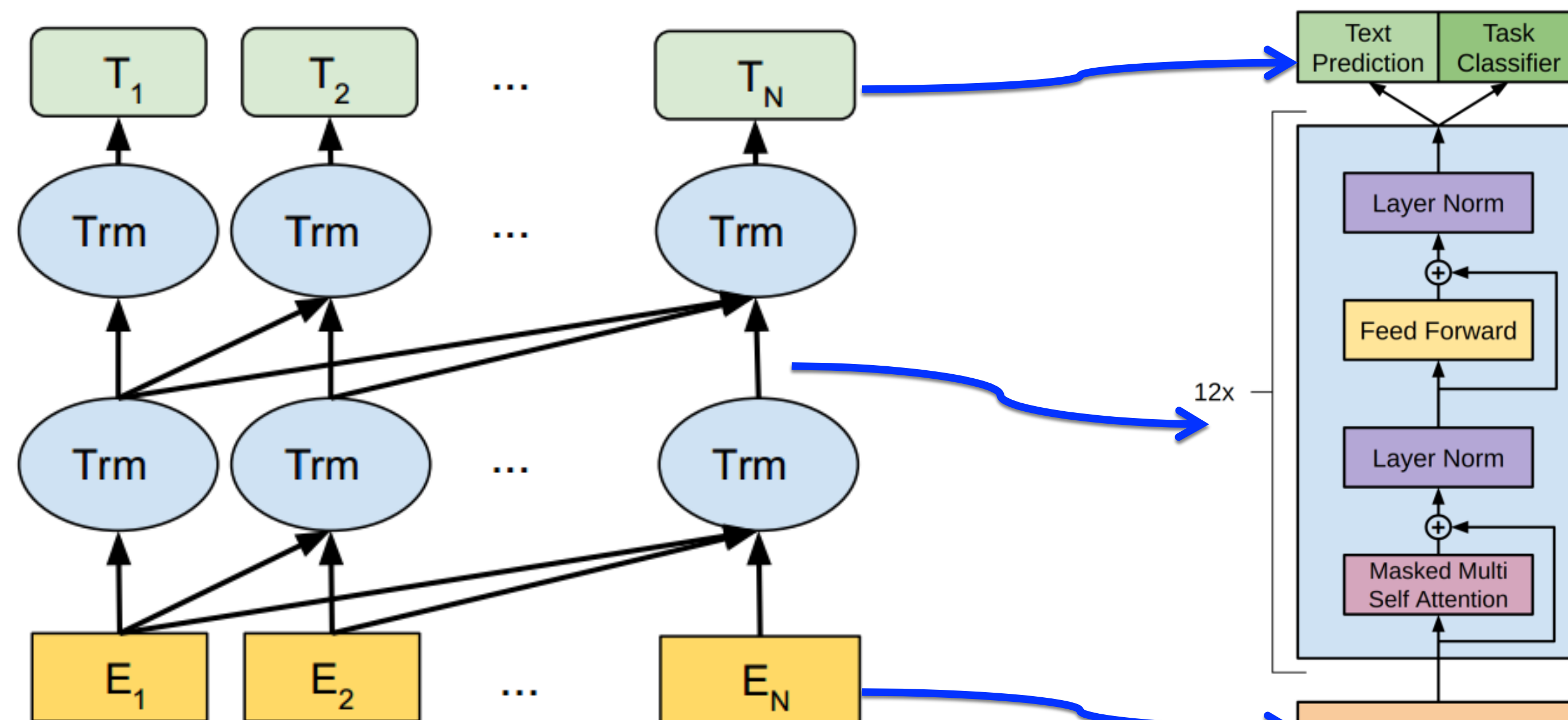
从Word Embedding到ELMO

从Word Embedding到GPT

Bert的诞生

从WE到GPT: Pretrain+Finetune两阶段过程

OpenAI GPT



预训练

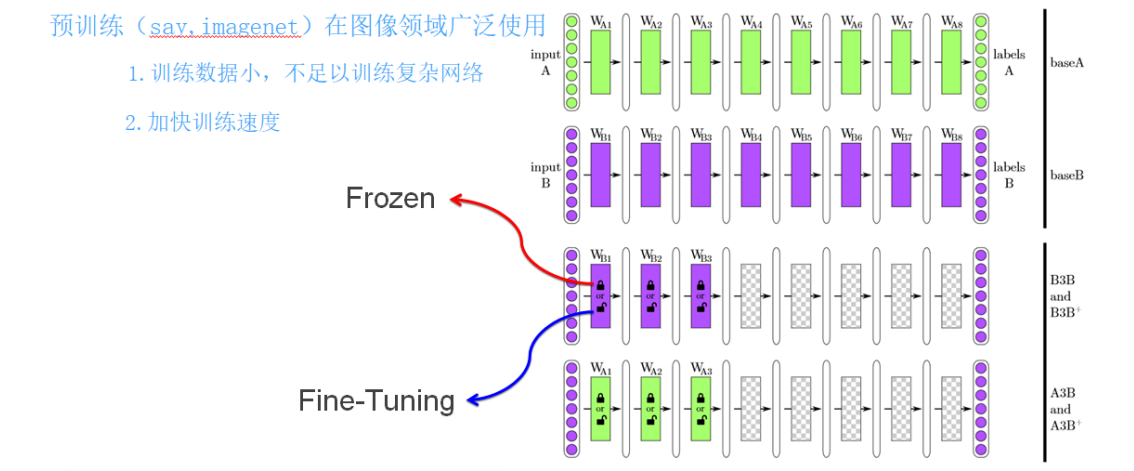
1. Transformer特征抽取器
2. 语言模型作为训练任务 (单向)

1. Transformer由Google2017年提出
2. 本质上是个self attention叠加结构
3. 目前是效果最好的特征抽取器, RNN在未来要被替代
4. 优点: 易于并行 (RNN弱项); 捕获长距离特征能力强 (Transformer>LSTM>CNN); 有深度有内涵

论文: Improving Language Understanding by Generative Pre-Training

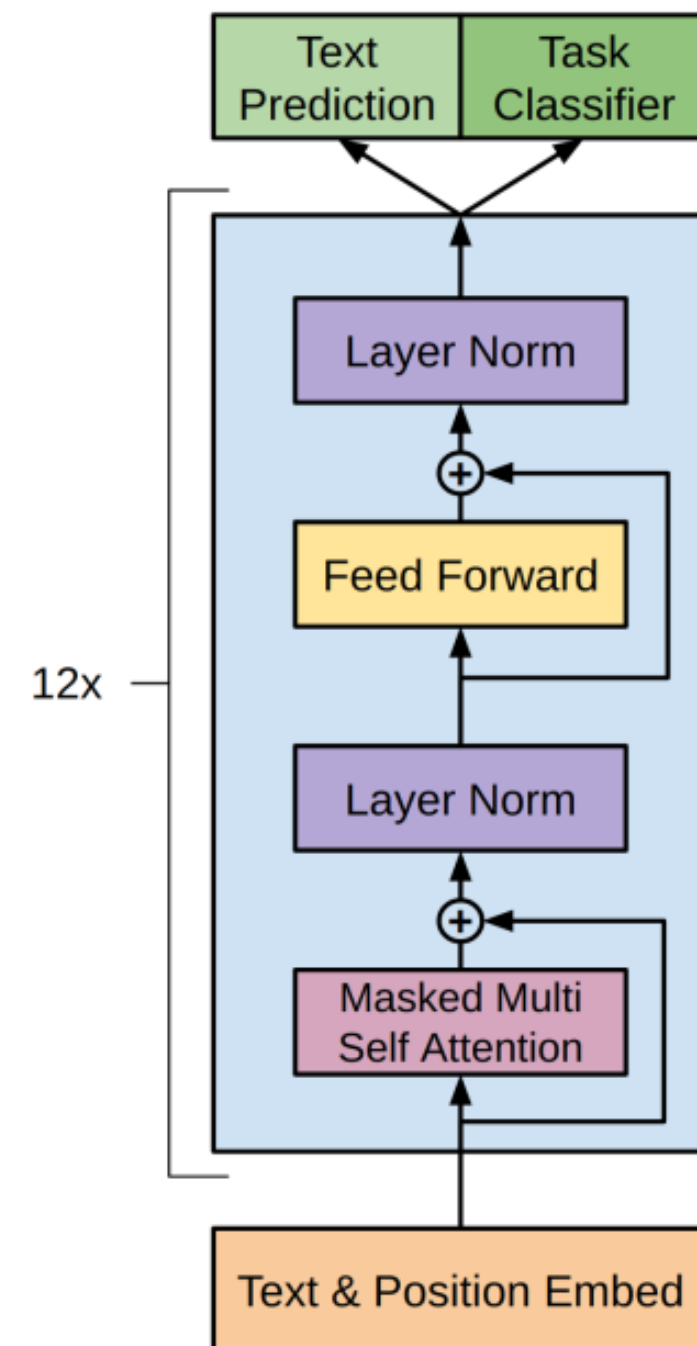
GPT: 训练好之后如何使用?

预训练在图像领域的应用



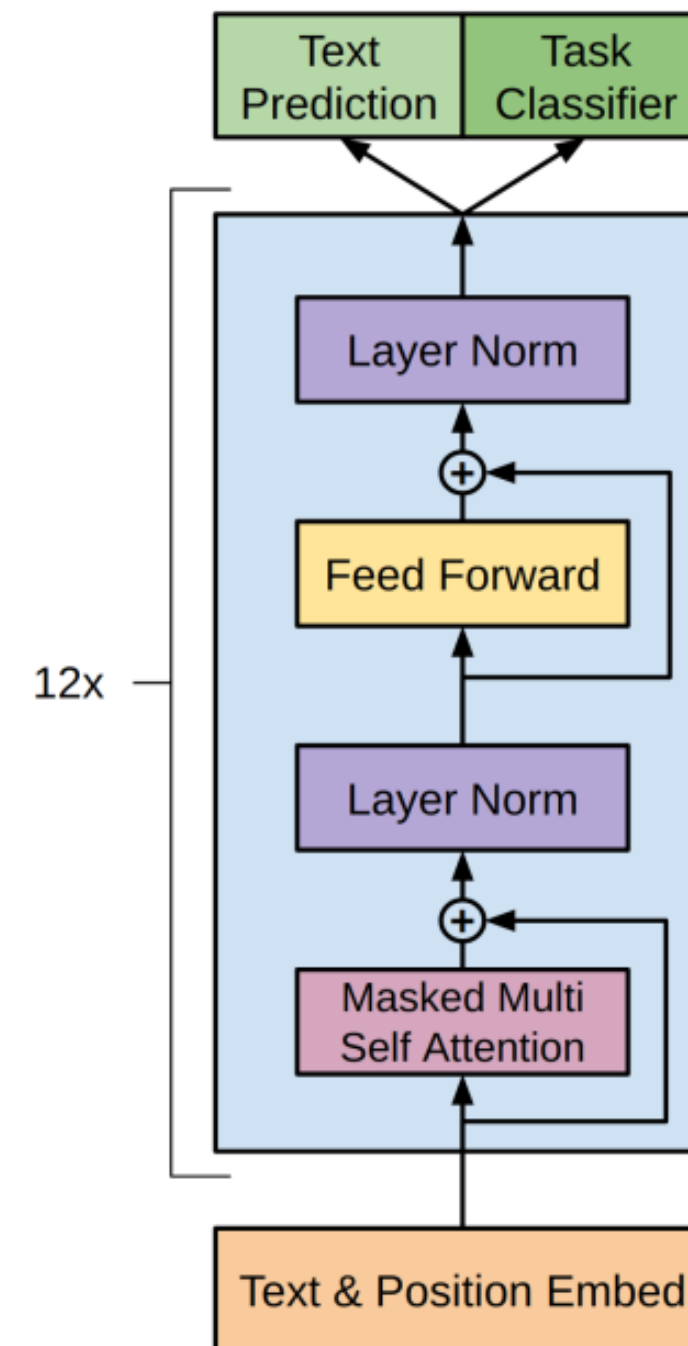
在这样的夜里
你有没有想起我

结构改造

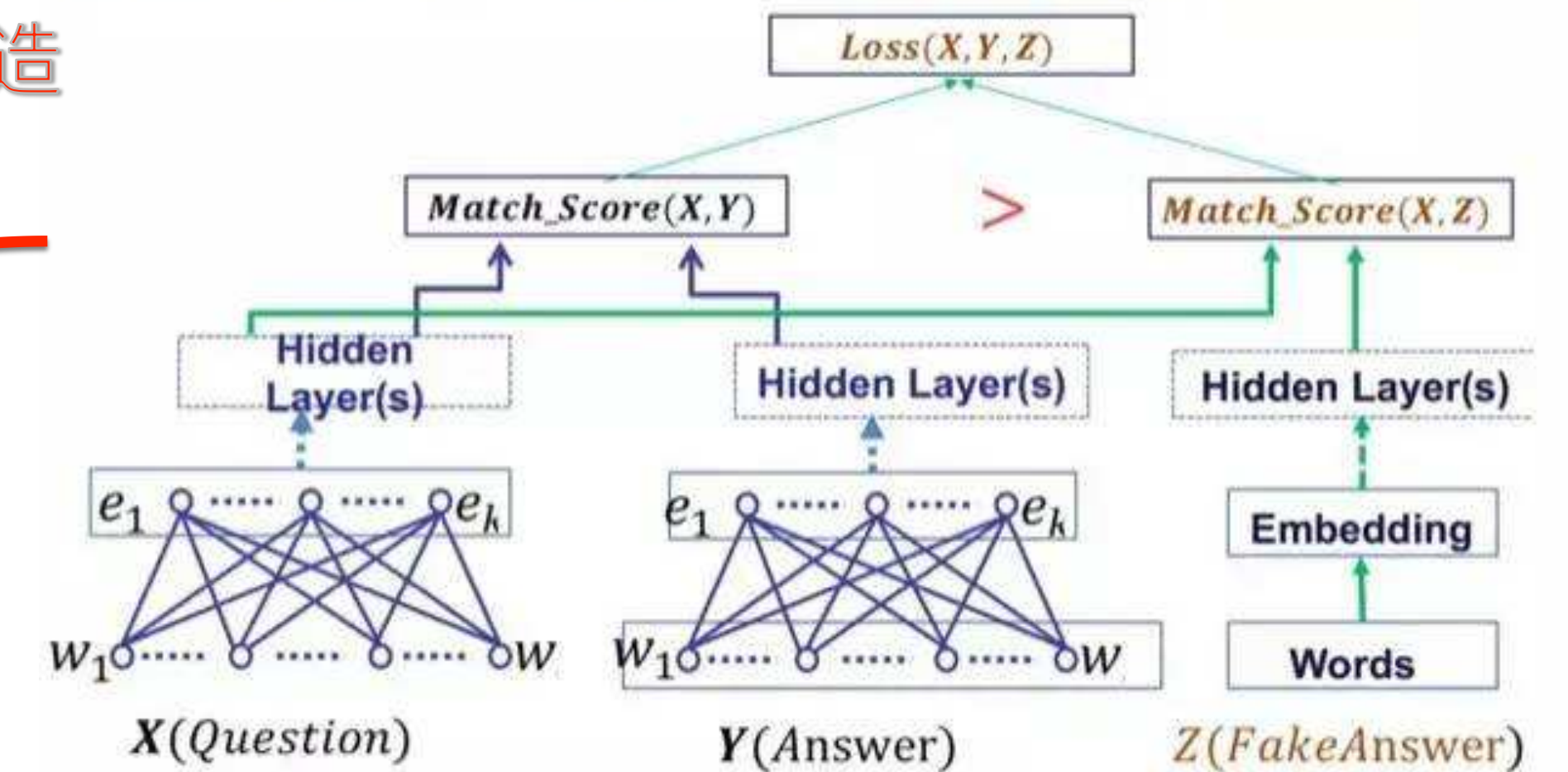


预训练

初始化参数

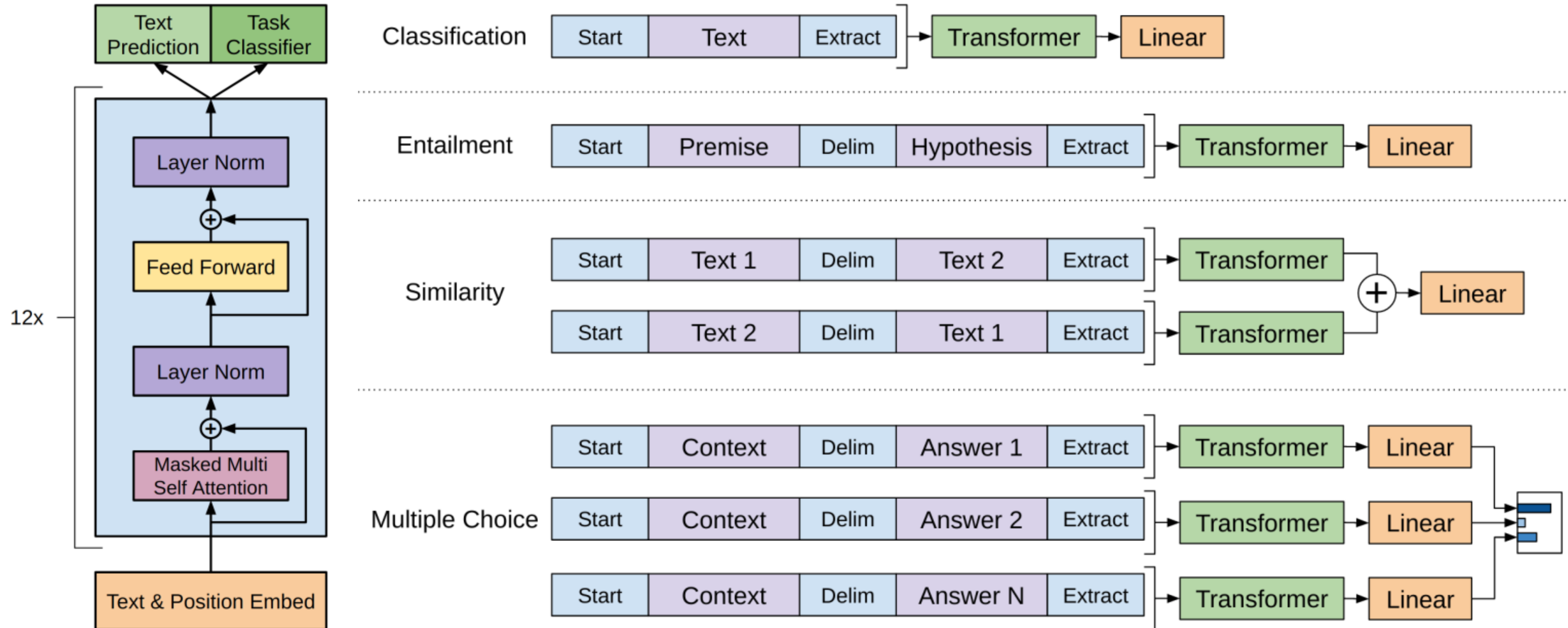


Fine-Tuning



下游任务

GPT: 如何改造下游任务?



GPT: 效果如何?

Table 2: Experimental results on natural language inference tasks, comparing our model with current state-of-the-art methods. 5x indicates an ensemble of 5 models. All datasets use accuracy as the evaluation metric.

Method	MNLI-m	MNLI-mm	SNLI	SciTail	QNLI	RTE
ESIM + ELMo [44] (5x)	-	-	<u>89.3</u>	-	-	-
CAFE [58] (5x)	80.2	79.0	<u>89.3</u>	-	-	-
Stochastic Answer Network [35] (3x)	<u>80.6</u>	<u>80.1</u>	-	-	-	-
CAFE [58]	78.7	77.9	88.5	<u>83.3</u>		
GenSen [64]	71.4	71.3	-	-	<u>82.3</u>	59.2
Multi-task BiLSTM + Attn [64]	72.2	72.1	-	-	82.1	61.7
Finetuned Transformer LM (ours)	82.1	81.4	89.9	88.3	88.1	56.0

12个NLP任务: 9个达到最好效果!

Table 3: Results on question answering and commonsense reasoning, comparing our model with current state-of-the-art methods.. 9x means an ensemble of 9 models.

Method	Story Cloze	RACE-m	RACE-h	RACE
val-LS-skip [55]	76.5	-	-	-
Hidden Coherence Model [7]	<u>77.6</u>	-	-	-
Dynamic Fusion Net [67] (9x)	-	55.6	49.4	51.2
BiAttention MRU [59] (9x)	-	<u>60.2</u>	<u>50.3</u>	<u>53.3</u>
Finetuned Transformer LM (ours)	86.5	62.9	57.4	59.0

Table 4: Semantic similarity and classification results, comparing our model with current state-of-the-art methods. All task evaluations in this table were done using the GLUE benchmark. (*mc*= Mathews correlation, *acc*=Accuracy, *pc*=Pearson correlation)

Method	Classification		Semantic Similarity			GLUE
	CoLA (mc)	SST2 (acc)	MRPC (F1)	STSB (pc)	QQP (F1)	
Sparse byte mLSTM [16]	-	93.2	-	-	-	-
TF-KLD [23]	-	-	86.0	-	-	-
ECNU (mixed ensemble) [60]	-	-	-	<u>81.0</u>	-	-
Single-task BiLSTM + ELMo + Attn [64]	<u>35.0</u>	90.2	80.2	55.5	<u>66.1</u>	64.8
Multi-task BiLSTM + ELMo + Attn [64]	18.9	91.6	83.5	72.8	63.3	<u>68.9</u>
Finetuned Transformer LM (ours)	45.4	91.3	82.3	82.0	70.3	72.8

GPT: 有效因子分析

Table 5: Analysis of various model ablations on different tasks. Avg. score is a unweighted average of all the results. (*mc*= Mathews correlation, *acc*=Accuracy, *pc*=Pearson correlation)

Method	Avg. Score	CoLA (mc)	SST2 (acc)	MRPC (F1)	STSB (pc)	QQP (F1)	MNLI (acc)	QNLI (acc)	RTE (acc)
Transformer w/ aux LM (full)	74.7	45.4	91.3	82.3	82.0	70.3	81.8	88.1	56.0
Transformer w/o pre-training	59.9	18.9	84.0	79.4	30.9	65.5	75.7	71.2	53.8
Transformer w/o aux LM	75.0	47.9	92.0	84.9	83.2	69.8	81.1	86.9	54.4
LSTM w/ aux LM	69.1	30.3	90.5	83.2	71.8	68.1	73.7	81.1	54.6

1. Transformer特征抽取能力远强于LSTM

2. 预训练至关重要

GPT：有什么缺点？

事后看（和Bert出来之后对比）：

- 1.要是把语言模型改造成双向的就好了
- 2.不太会炒作，GPT也是非常重要的工作.

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从Word Embedding到ELMO

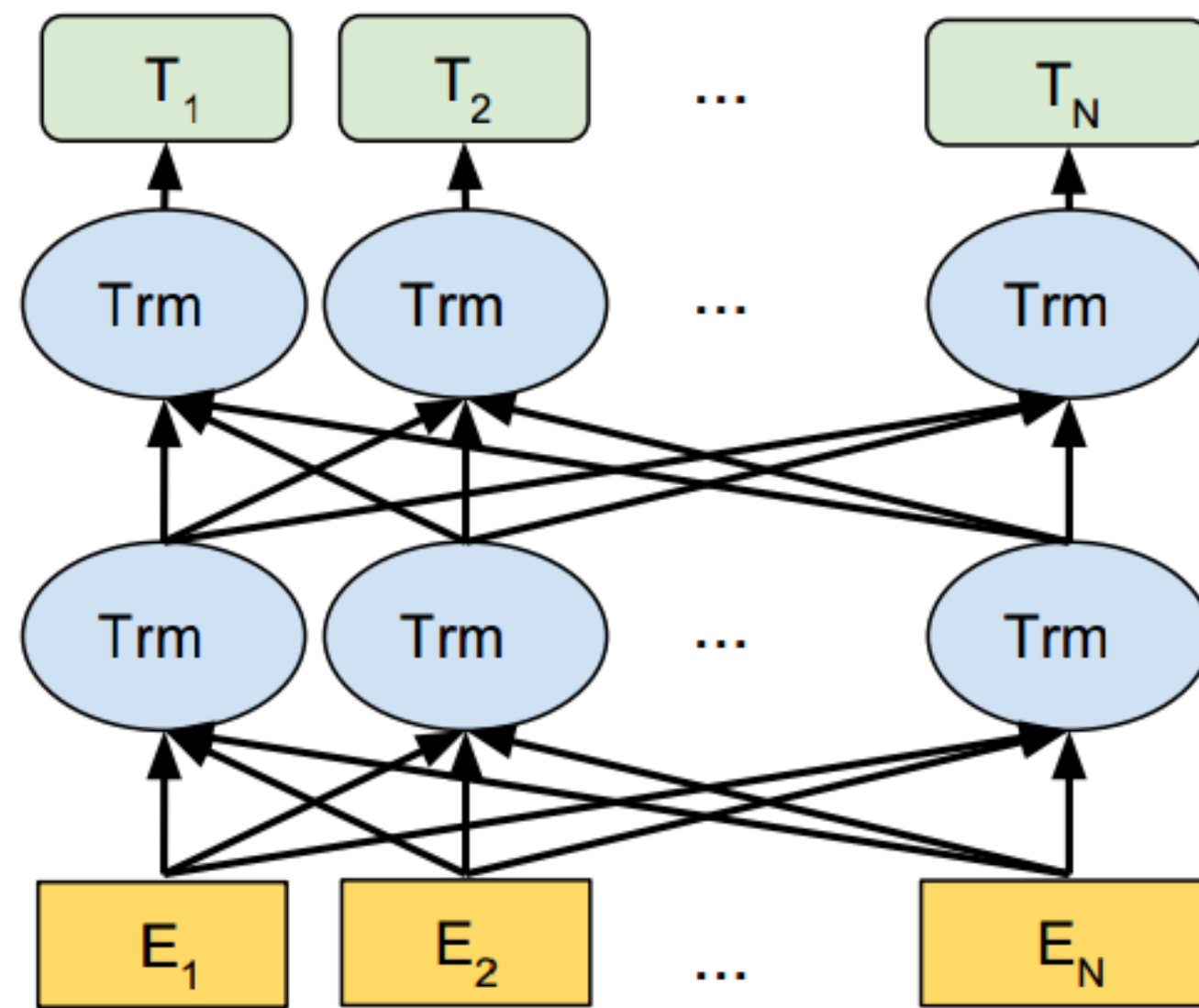
从Word Embedding到GPT

Bert的诞生

从GPT和ELMO及Word2Vec到Bert：新星的诞生

- 1. Transformer特征抽取器
- 2. 语言模型作为训练任务（双向）

BERT (Ours)



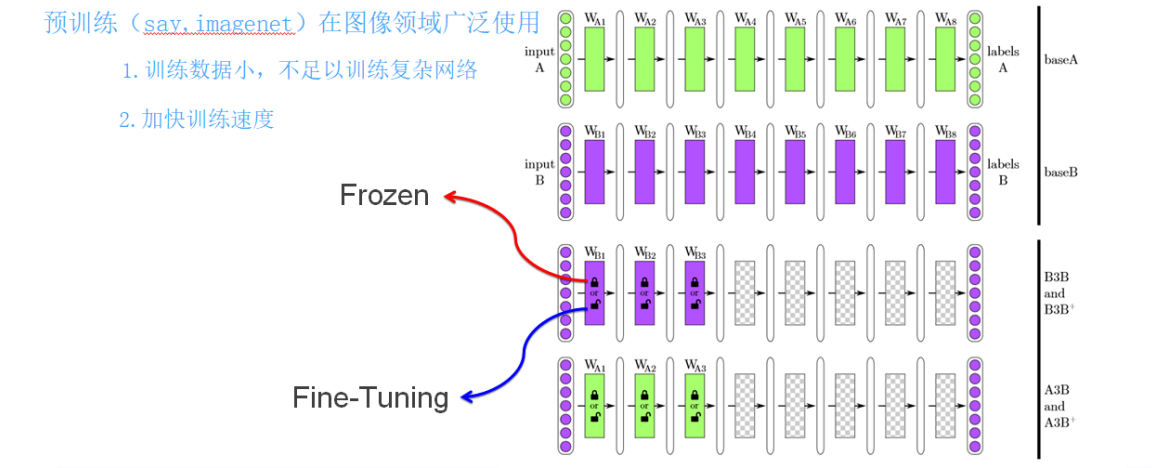
预训练

论文：BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding

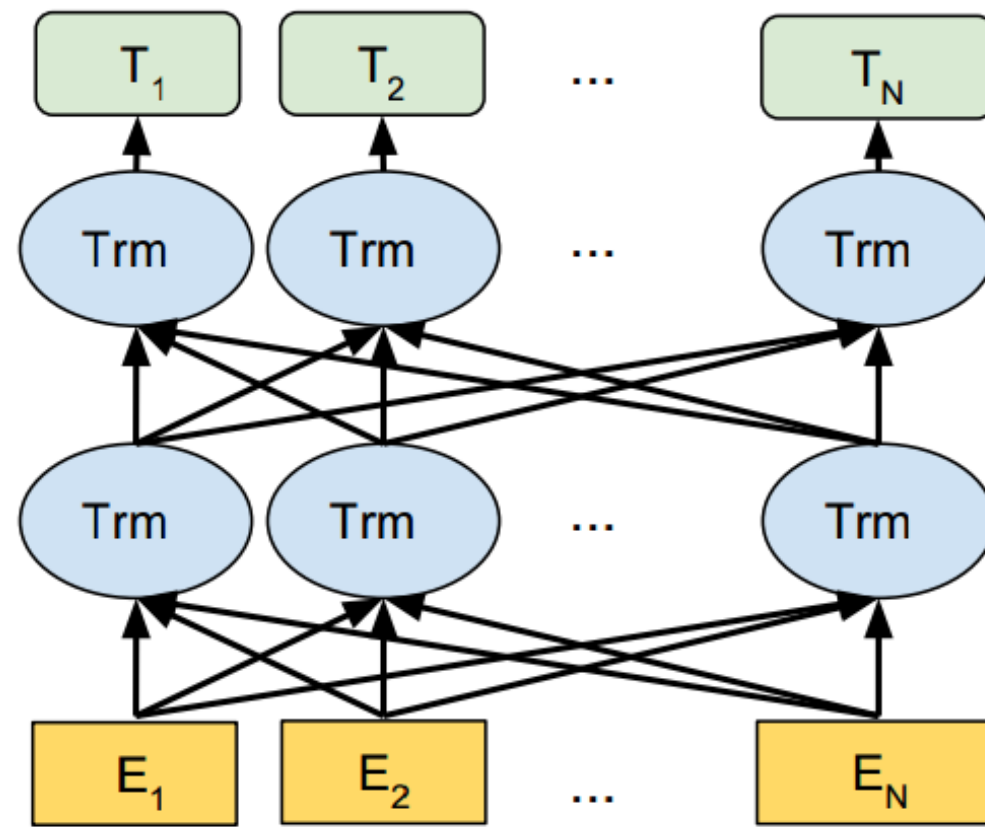
Bert: 训练好之后如何使用?

预训练在图像领域的应用

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BERT (Ours)

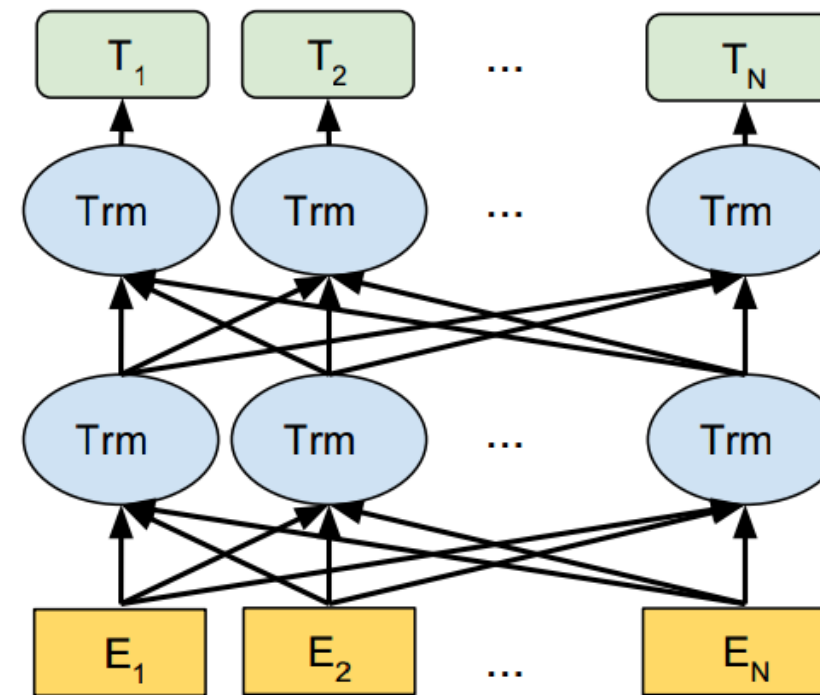


预训练

初始化参数

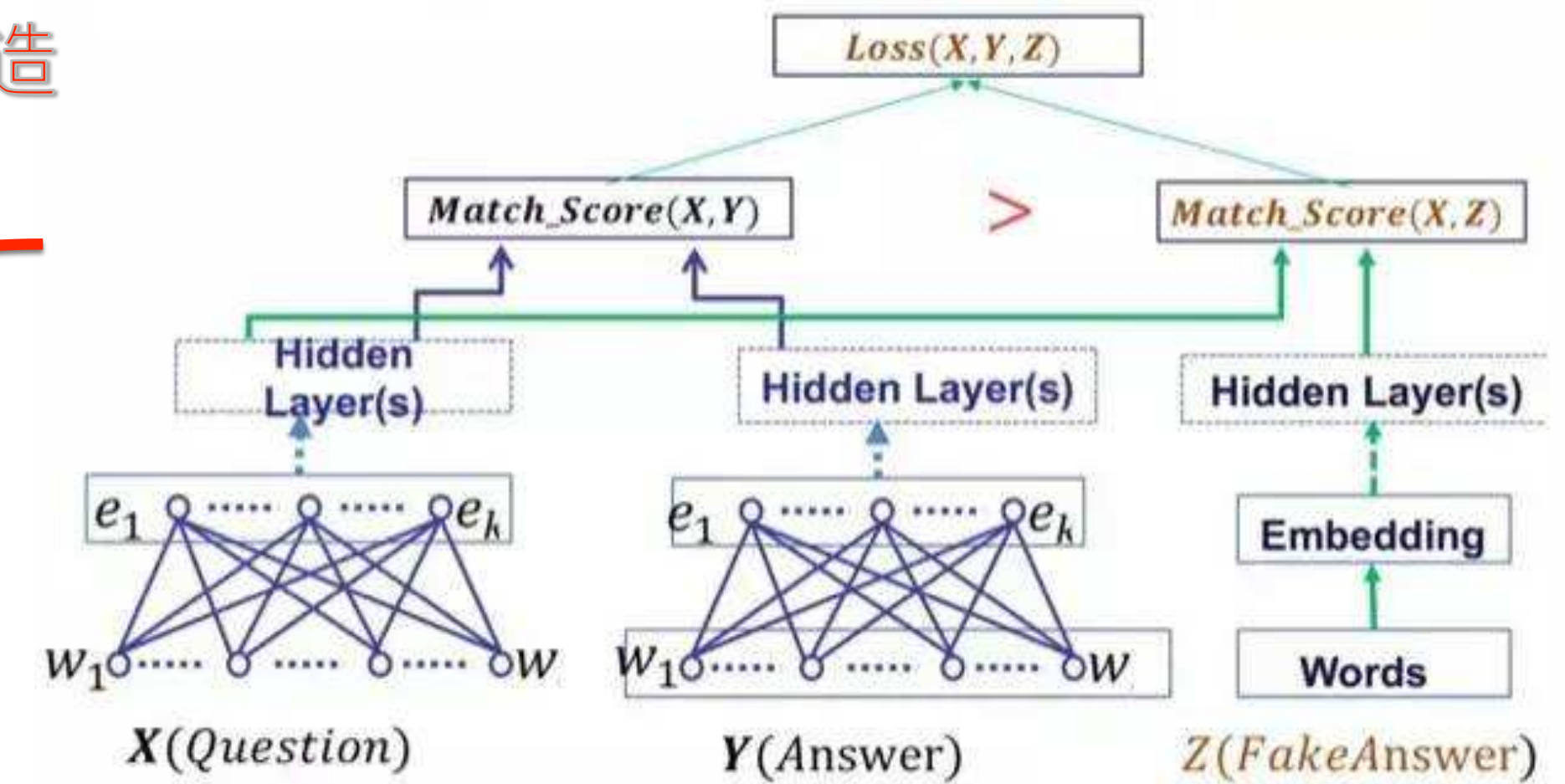
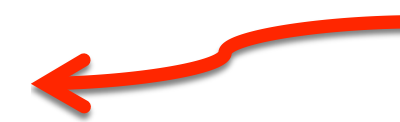


BERT (Ours)



Fine-Tuning

结构改造



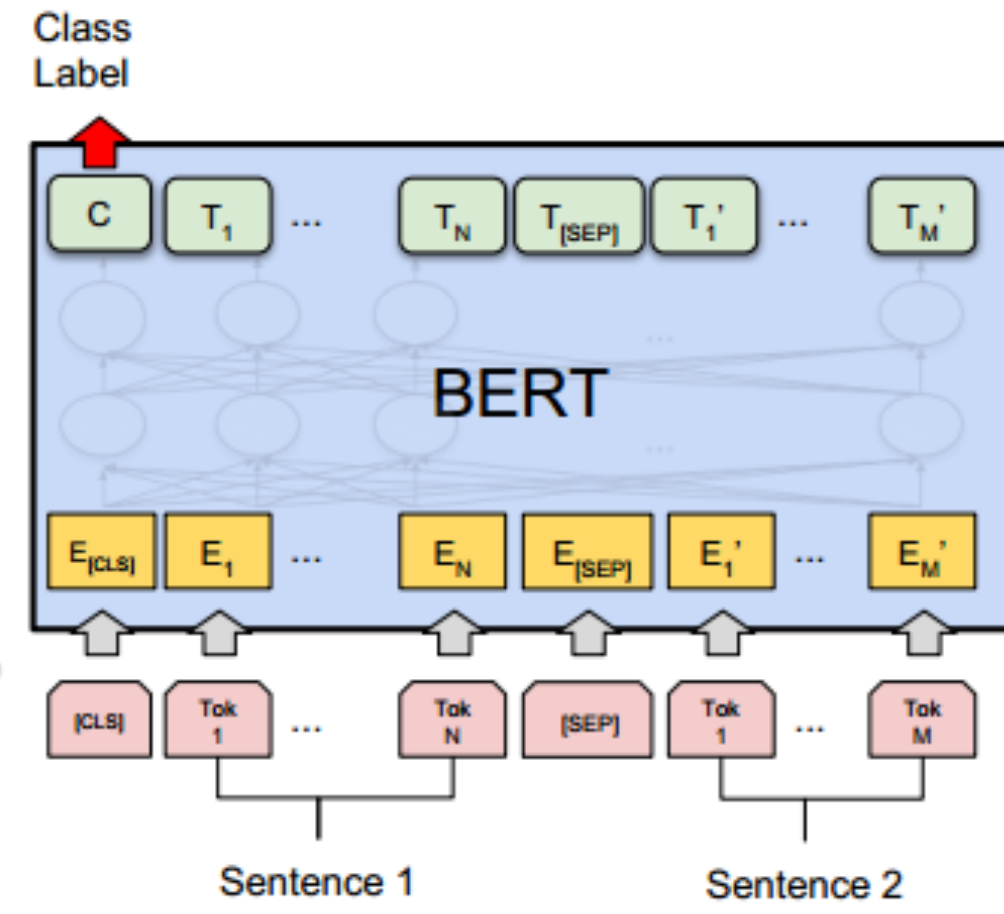
下游任务

NLP的四大类任务

- 序列标注：分词/POS Tag/NER/语义标注.....
- 分类任务：文本分类 / 情感计算.....
- 句子关系判断：Entailment/QA/自然语言推理....
- 生成式任务：机器翻译 / 文本摘要.....

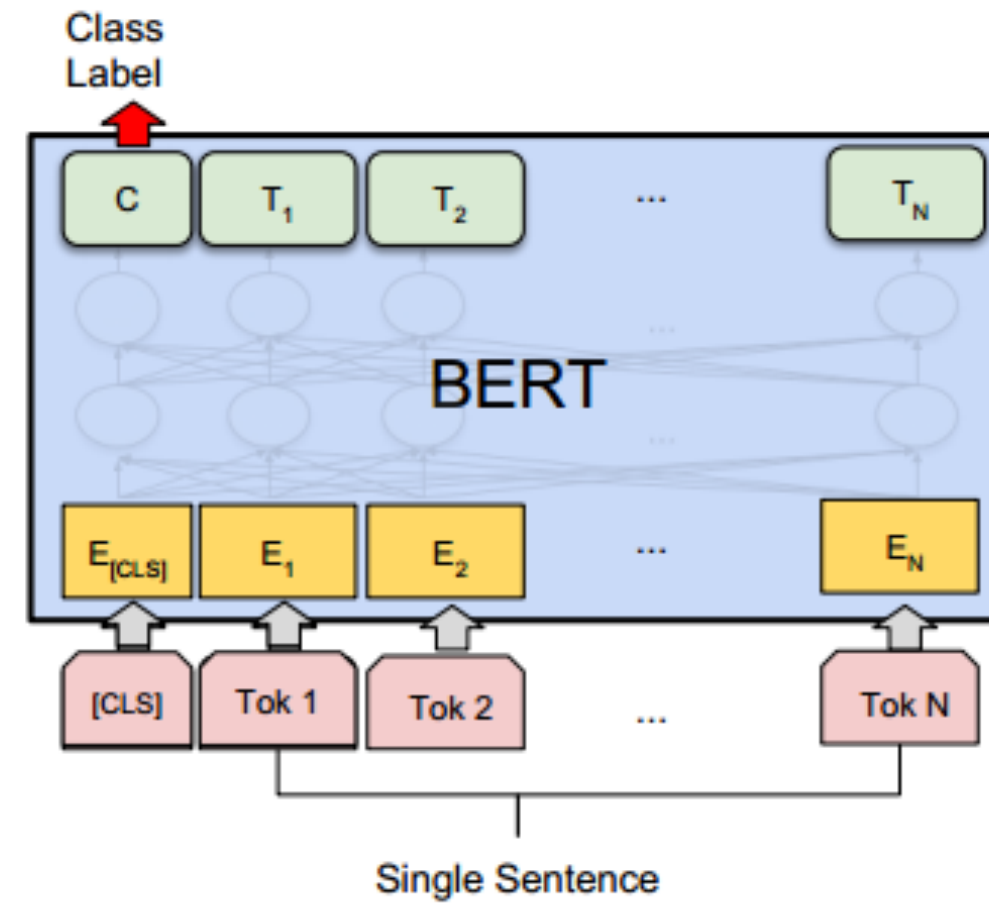
Bert: 如何改造下游任务?

句子关系类任务



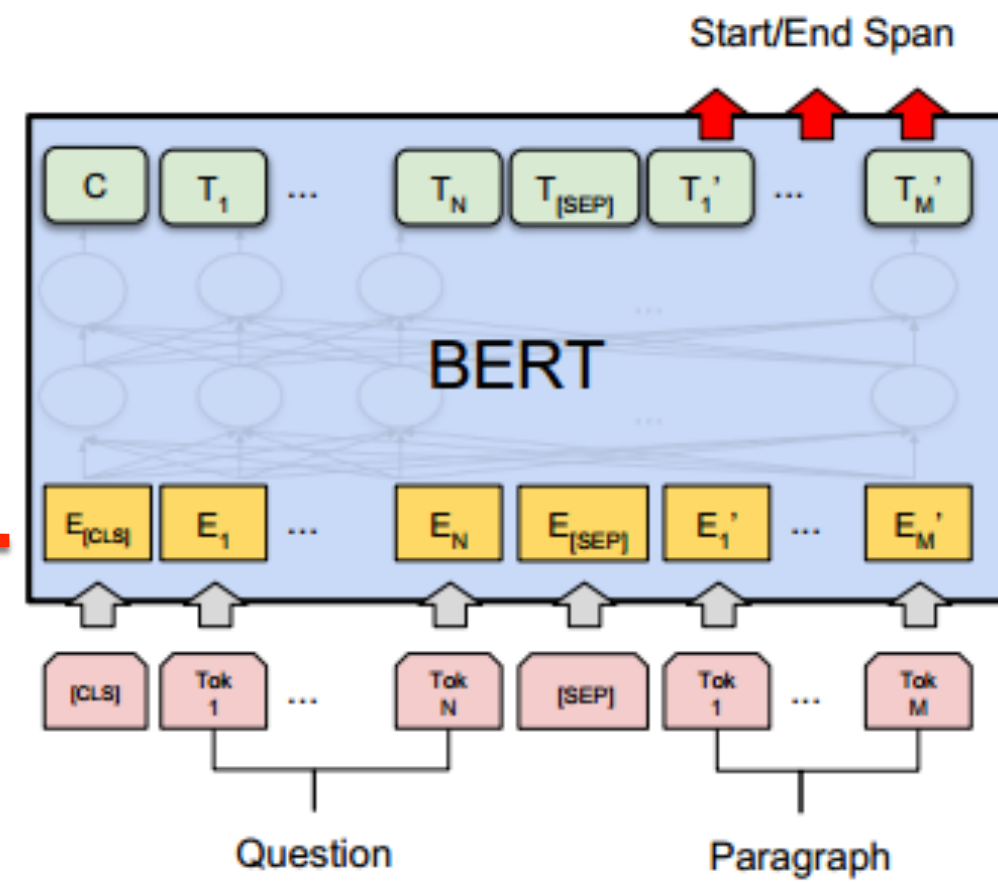
(a) Sentence Pair Classification Tasks:
MNLI, QQP, QNLI, STS-B, MRPC,
RTE, SWAG

单句分类任务



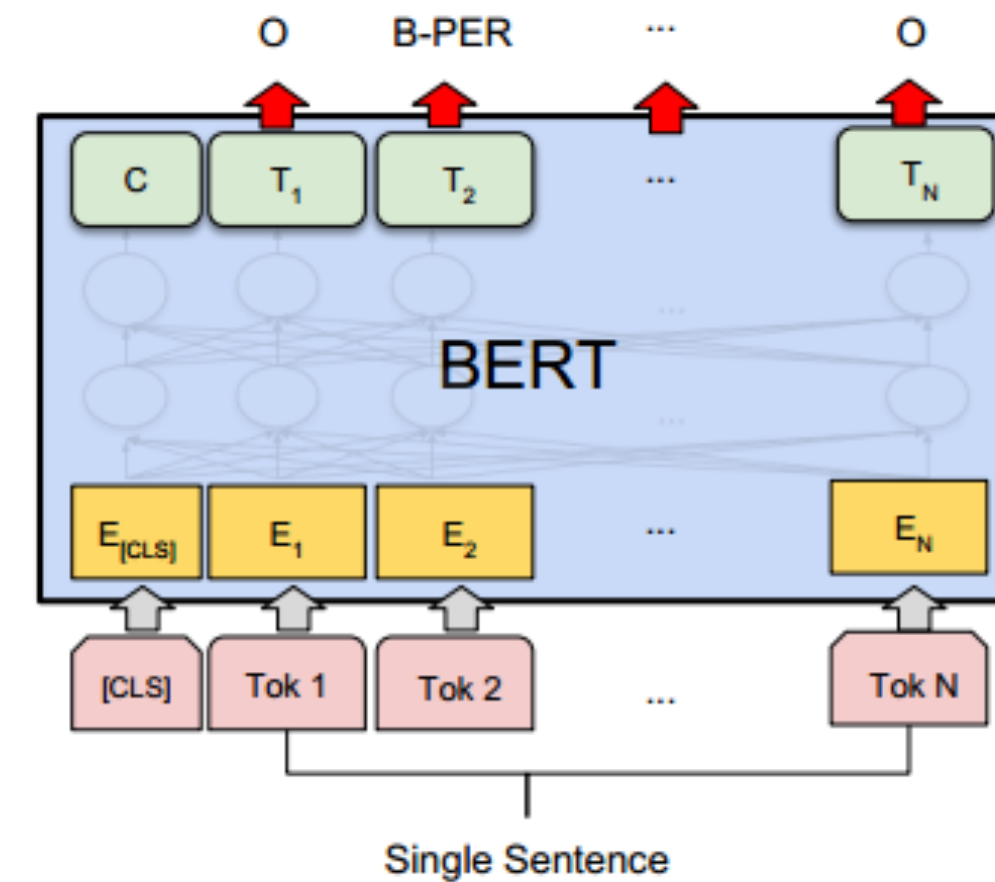
(b) Single Sentence Classification Tasks:
SST-2, CoLA

阅读理解任务



(c) Question Answering Tasks:
SQuAD v1.1

序列标注类任务



(d) Single Sentence Tagging Tasks:
CoNLL-2003 NER

Bert: 效果如何?

System	MNLI-(m/mm)	QQP	QNLI	SST-2	CoLA	STS-B	MRPC	RTE	Average
	392k	363k	108k	67k	8.5k	5.7k	3.5k	2.5k	-
Pre-OpenAI SOTA	80.6/80.1	66.1	82.3	93.2	35.0	81.0	86.0	61.7	74.0
BiLSTM+ELMo+Attn	76.4/76.1	64.8	79.9	90.4	36.0	73.3	84.9	56.8	71.0
OpenAI GPT	82.1/81.4	70.3	88.1	91.3	45.4	80.0	82.3	56.0	75.2
BERT _{BASE}	84.6/83.4	71.2	90.1	93.5	52.1	85.8	88.9	66.4	79.6
BERT _{LARGE}	86.7/85.9	72.1	91.1	94.9	60.5	86.5	89.3	70.1	81.9

System	Dev F1	Test F1
ELMo+BiLSTM+CRF	95.7	92.2
CVT+Multi (Clark et al., 2018)	-	92.6
BERT _{BASE}	96.4	92.4
BERT _{LARGE}	96.6	92.8

Table 3: CoNLL-2003 Named Entity Recognition results. The hyperparameters were selected using the Dev set, and the reported Dev and Test scores are averaged over 5 random restarts using those hyperparameters.

System	Dev	Test
ESIM+GloVe	51.9	52.7
ESIM+ELMo	59.1	59.2
BERT _{BASE}	81.6	-
BERT _{LARGE}	86.6	86.3
Human (expert) [†]	-	85.0
Human (5 annotations) [†]	-	88.0

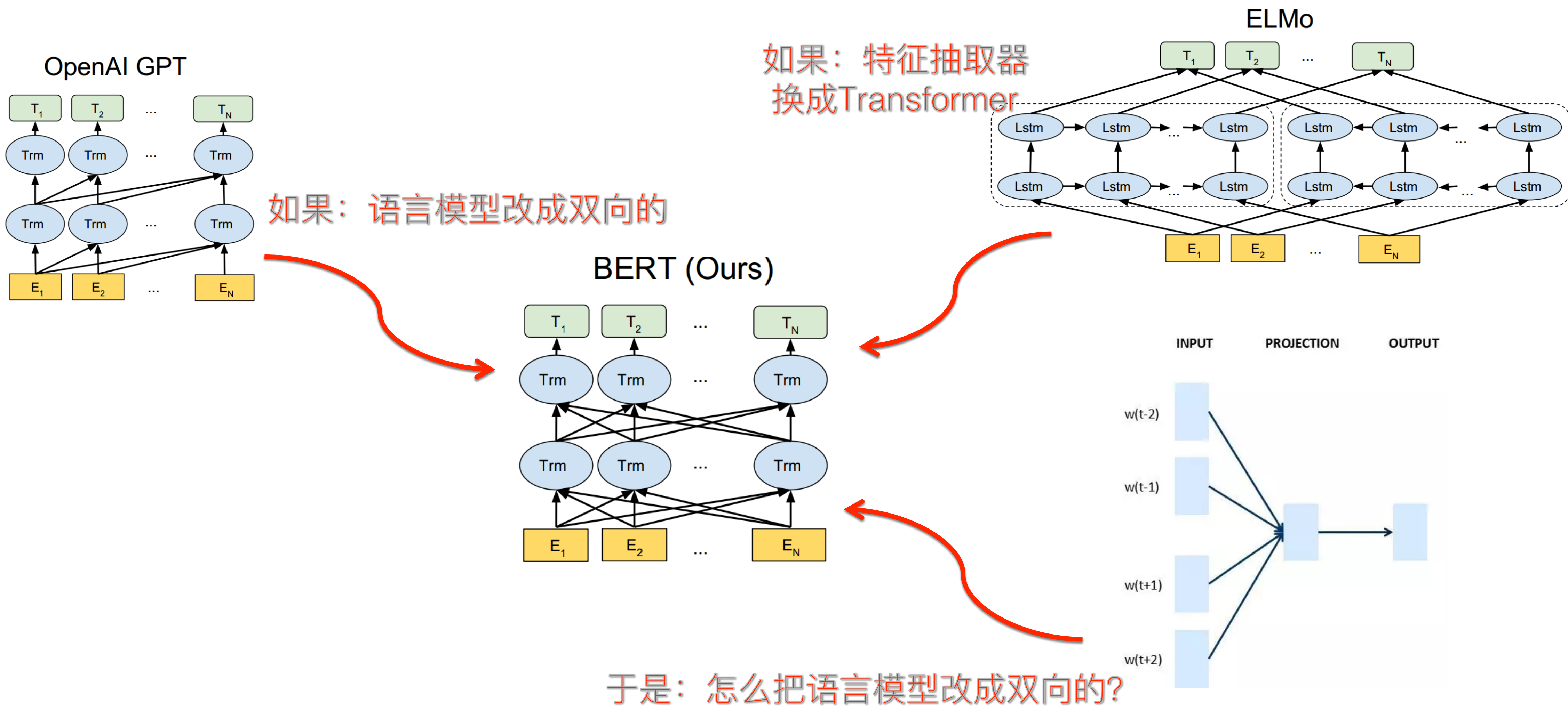
Table 4: SWAG Dev and Test accuracies. Test results were scored against the hidden labels by the SWAG authors. [†]Human performance is measure with 100 samples, as reported in the SWAG paper.

System	Dev		Test	
	EM	F1	EM	F1
Leaderboard (Oct 8th, 2018)				
Human	-	-	82.3	91.2
#1 Ensemble - nlnet	-	-	86.0	91.7
#2 Ensemble - QANet	-	-	84.5	90.5
#1 Single - nlnet	-	-	83.5	90.1
#2 Single - QANet	-	-	82.5	89.3
Published				
BiDAF+ELMo (Single)	-	85.8	-	-
R.M. Reader (Single)	78.9	86.3	79.5	86.6
R.M. Reader (Ensemble)	81.2	87.9	82.3	88.5
Ours				
BERT _{BASE} (Single)	80.8	88.5	-	-
BERT _{LARGE} (Single)	84.1	90.9	-	-
BERT _{LARGE} (Ensemble)	85.8	91.8	-	-
BERT _{LARGE} (Sgl.+TriviaQA)	84.2	91.1	85.1	91.8
BERT _{LARGE} (Ens.+TriviaQA)	86.2	92.2	87.4	93.2

Table 2: SQuAD results. The BERT ensemble is 7x systems which use different pre-training checkpoints and fine-tuning seeds.

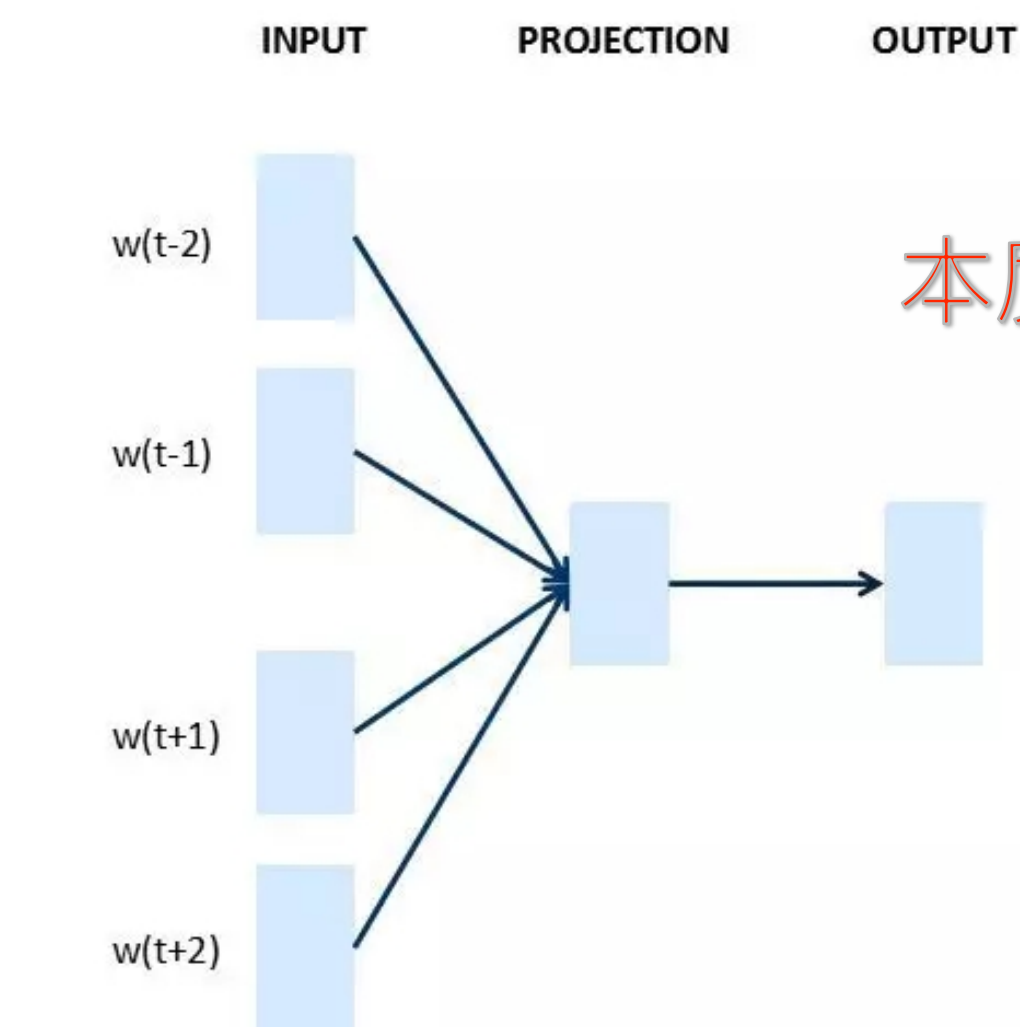
11个NLP任务：全面提升效果！

从GPT和ELMO及Word2Vec到Bert： 四者的关系



Word2Vec:CBOW

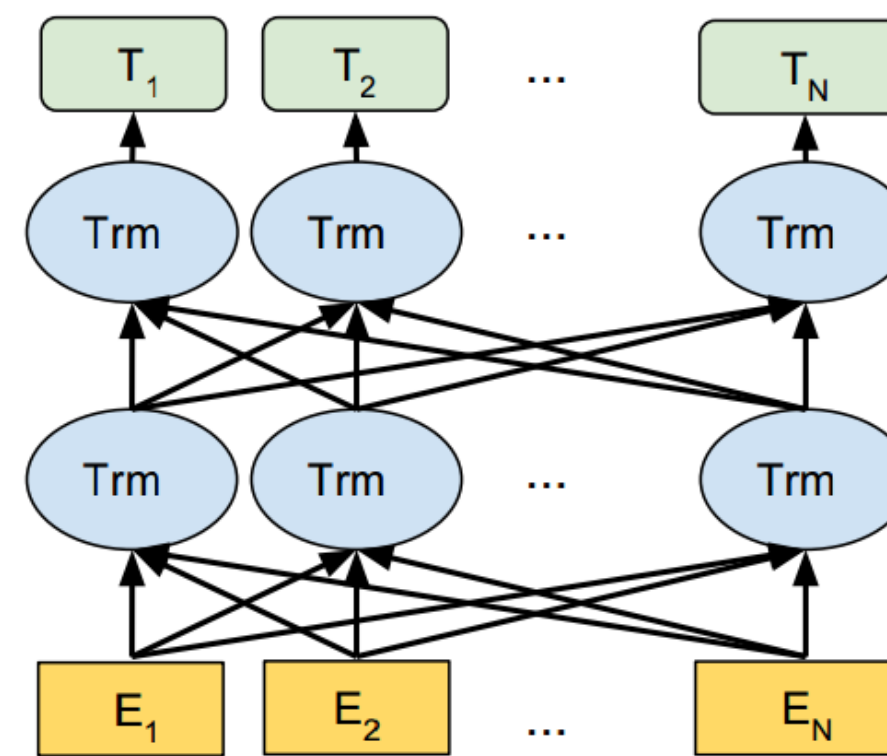
Bert: 如何构造双向语言模型?



Word2Vec: CBOW

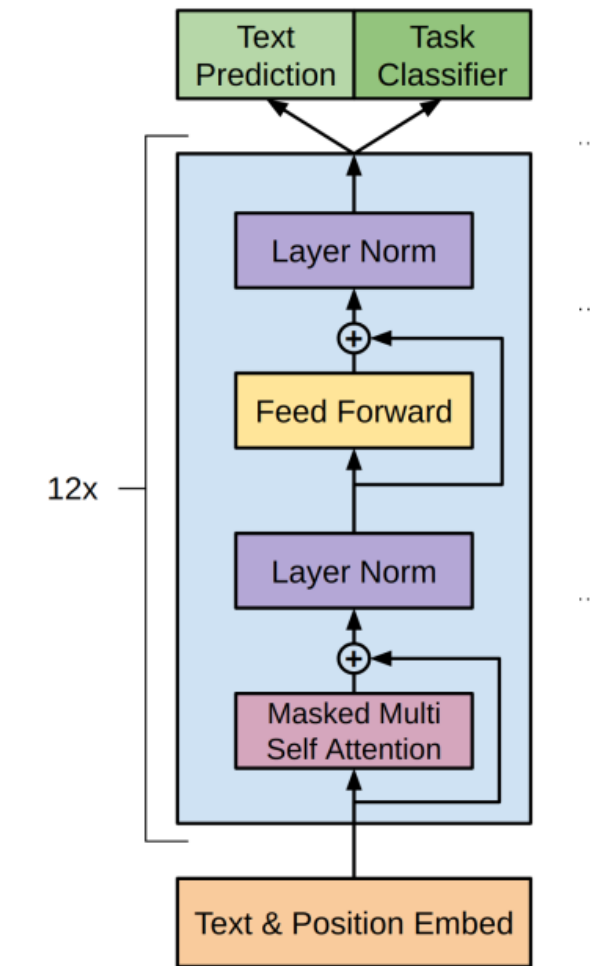
本质上是这个思想

BERT (Ours)



另外提出了: Next Sentence Prediction

但要这个网络结构



于是提出了: Masked LM

Bert: 如何构造双向语言模型?

token. Instead, the training data generator chooses 15% of tokens at random, e.g., in the sentence `my dog is hairy it chooses hairy`. It then performs the following procedure:

- Rather than *always* replacing the chosen words with [MASK], the data generator will do the following:
- 80% of the time: Replace the word with the [MASK] token, e.g., `my dog is hairy` → `my dog is [MASK]`
- 10% of the time: Replace the word with a random word, e.g., `my dog is hairy` → `my dog is apple`
- 10% of the time: Keep the word unchanged, e.g., `my dog is hairy` → `my dog is hairy`. The purpose of this is to bias the representation towards the actual observed word.



Masked LM

Bert: 如何构造双向语言模型?

not directly captured by language modeling. In order to train a model that understands sentence relationships, we pre-train a binarized *next sentence prediction* task that can be trivially generated from any monolingual corpus. Specifically, when choosing the sentences A and B for each pre-training example, 50% of the time B is the actual next sentence that follows A, and 50% of the time it is a random sentence from the corpus. For example:

Input = [CLS] the man went to [MASK] store [SEP]

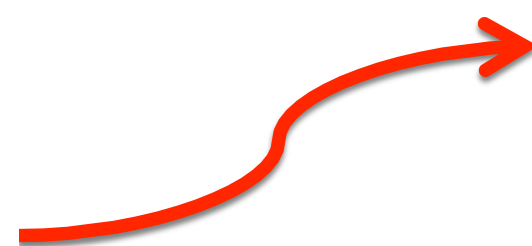
he bought a gallon [MASK] milk [SEP]

Label = IsNext

Input = [CLS] the man [MASK] to the store [SEP]

penguin [MASK] are flight ##less birds [SEP]

Label = NotNext



Next Sentence Prediction

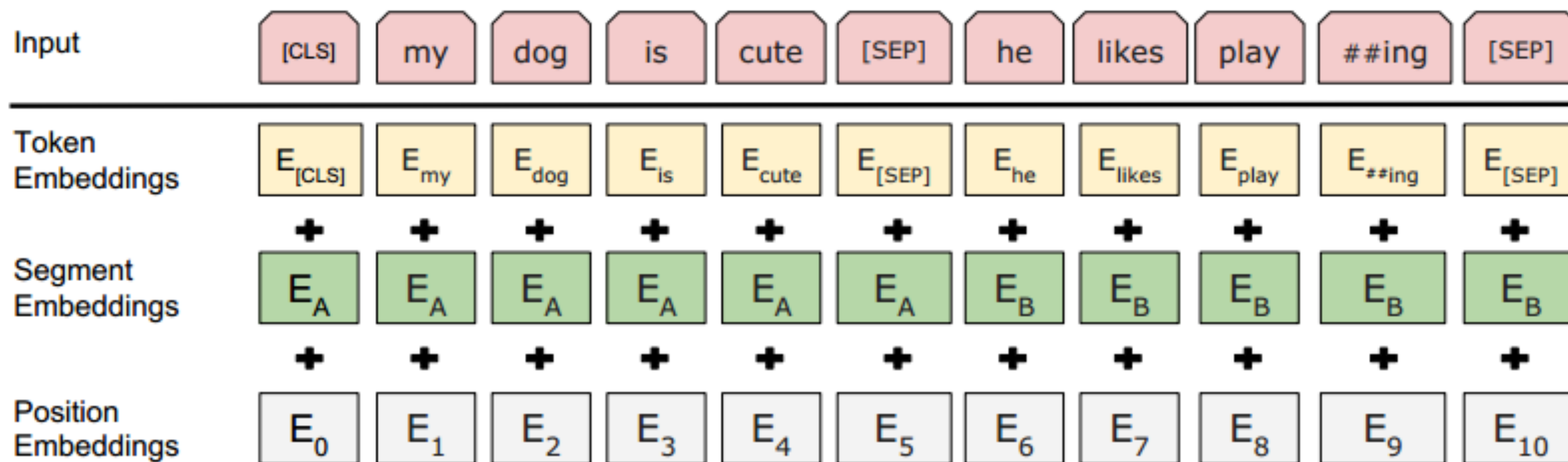
Bert: 如何构造双向语言模型?

AB句子分隔符

[illegible]

Mask单词的位置信息，预训练输出时需要

Bert: 输入部分的处理



Bert: 输出部分的处理 (预训练阶段)

```
def get_masked_lm_output(bert_config, input_tensor, output_weights, positions,
                        label_ids, label_weights):
    """Get loss and log probs for the masked LM."""
    input_tensor = gather_indexes(input_tensor, positions)

    with tf.variable_scope("cls/predictions"):
        # We apply one more non-linear transformation before the output layer.
        # This matrix is not used after pre-training.
        with tf.variable_scope("transform"):
            input_tensor = tf.layers.dense(input_tensor,
                                          units=bert_config.hidden_size,
                                          activation=modeling.get_activation(bert_config.hidden_act),
                                          kernel_initializer=modeling.create_initializer(
                                              bert_config.initializer_range))
            input_tensor = modeling.layer_norm(input_tensor)

        # The output weights are the same as the input embeddings, but there is
        # an output-only bias for each token.
        output_bias = tf.get_variable("output_bias", shape=[bert_config.vocab_size],
                                      initializer=tf.zeros_initializer())
        logits = tf.matmul(input_tensor, output_weights, transpose_b=True)
        logits = tf.nn.bias_add(logits, output_bias)
        log_probs = tf.nn.log_softmax(logits, axis=-1)

        label_ids = tf.reshape(label_ids, [-1])
        label_weights = tf.reshape(label_weights, [-1])

        one_hot_labels = tf.one_hot(
            label_ids, depth=bert_config.vocab_size, dtype=tf.float32)

        # The `positions` tensor might be zero-padded (if the sequence is too
        # short to have the maximum number of predictions). The `label_weights`
        # tensor has a value of 1.0 for every real prediction and 0.0 for the
        # padding predictions.
        per_example_loss = -tf.reduce_sum(log_probs * one_hot_labels, axis=[-1])
        numerator = tf.reduce_sum(label_weights * per_example_loss)
        denominator = tf.reduce_sum(label_weights) + 1e-5
        loss = numerator / denominator

    return (loss, per example loss, log probs)
```

0. 第一个字符位置CLS对应Transformer输出分类结果

1. 获得Masked word 位置信息, 掩码取出, 不定长, 最长20;

2. 套上隐层

3. 结果和词典对应单词矩阵乘法, 其实是算 $e[\text{masked}] * e[\text{dictionary word } i]$ 的内积, 相似性

4. 套上softmax预测最可能的单词

5. 累加loss

Bert: 有效因子分析

Tasks	Dev Set				
	MNLI-m (Acc)	QNLI (Acc)	MRPC (Acc)	SST-2 (Acc)	SQuAD (F1)
BERT _{BASE}	84.4	88.4	86.7	92.7	88.5
No NSP	83.9	84.9	86.5	92.6	87.9
LTR & No NSP	82.1	84.3	77.5	92.1	77.8
+ BiLSTM	82.1	84.1	75.7	91.6	84.9

Table 5: Ablation over the pre-training tasks using the BERT_{BASE} architecture. “No NSP” is trained without the next sentence prediction task. “LTR & No NSP” is trained as a left-to-right LM without the next sentence prediction, like OpenAI GPT. “+ BiLSTM” adds a randomly initialized BiLSTM on top of the “LTR + No NSP” model during fine-tuning.

1.双向语言模型是最重要的

2.预测下个句子对个别任务有明显影响

BERT的评价及意义

- 由上述过程可知： Bert并未有重大模型创新，更像个最近两年NLP进展的集大成者
- 关键效果太好了，这将影响未来NLP的研究和应用模式
- 充分利用大量无监督NLP数据，将语言学知识隐含地引入特定任务中；
- 未来两年的NLP研究及应用模式可以预见如下
 - Transformer无处不在，逐步替代RNN和CNN；
 - 两阶段模型： 超大规模预训练+具体任务FineTuning （两阶段模型结构相近）
 - or 超大规模预训练+具体任务特征补充 （两阶段模型结构可以不同）

Thanks!